

**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2017 or tax year beginning

, and ending

Name of foundation <b>DRAPER RICHARDS KAPLAN FOUNDATION</b>		<b>A Employer identification number</b> 91-2172351
Number and street (or P.O. box number if mail is not delivered to street address) <b>1600 EL CAMINO REAL</b>	Room/suite <b>155</b>	<b>B Telephone number</b> 650-319-7808
City or town, state or province, country, and ZIP or foreign postal code <b>MENLO PARK, CA 94025</b>		<b>C</b> If exemption application is pending, check here ... <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here ... <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ... <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>42,818,910.</b>	<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ... <input type="checkbox"/>
(Part I, column (d) must be on cash basis.)		

<b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received	4,961,738.			
	<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	720,805.	722,751.	722,751.	<b>STATEMENT 2</b>
	<b>4</b> Dividends and interest from securities		1,779.	1,779.	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10		118,049.		<b>STATEMENT 1</b>
	<b>b</b> Gross sales price for all assets on line 6a	11,560,715.			
	<b>7</b> Capital gain net income (from Part IV, line 2)		984,291.		
	<b>8</b> Net short-term capital gain			104,928.	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss)					
<b>11</b> Other income	0.	2,720.	2,720.	<b>STATEMENT 3</b>	
<b>12 Total.</b> Add lines 1 through 11	5,800,592.	1,711,541.	832,178.		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	934,484.	0.	934,484.	0.
	<b>14</b> Other employee salaries and wages	1,727,325.	0.	1,727,325.	0.
	<b>15</b> Pension plans, employee benefits	1,539,808.	0.	1,539,808.	0.
	<b>16a</b> Legal fees <b>STMT 4</b>	12,035.	0.	12,035.	0.
	<b>b</b> Accounting fees <b>STMT 5</b>	32,400.	0.	32,400.	0.
	<b>c</b> Other professional fees <b>STMT 6</b>	382,484.	67,653.	314,831.	0.
	<b>17</b> Interest				
	<b>18</b> Taxes <b>STMT 7</b>	9,161.	0.	0.	9,161.
	<b>19</b> Depreciation and depletion	40,752.	0.	0.	
	<b>20</b> Occupancy	405,508.	0.	405,508.	0.
	<b>21</b> Travel, conferences, and meetings	323,514.	0.	323,514.	0.
	<b>22</b> Printing and publications	5,154.	0.	5,154.	0.
	<b>23</b> Other expenses <b>STMT 8</b>	1,053,517.	15,543.	-4,462,881.	5,516,398.
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	6,466,142.	83,196.	832,178.	5,525,559.
	<b>25</b> Contributions, gifts, grants paid	4,950,000.			4,850,000.
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	11,416,142.	83,196.	832,178.	10,375,559.	
<b>27</b> Subtract line 26 from line 12:					
<b>a</b> Excess of revenue over expenses and disbursements	-5,615,550.				
<b>b</b> Net investment income (if negative, enter -0-)		1,628,345.			
<b>c</b> Adjusted net income (if negative, enter -0-)			0.		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	1,527,948.	1,389,798.	1,389,798.
	2 Savings and temporary cash investments	762,344.	321,362.	321,362.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable	13,465,207.		
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts	100,000.	0.	0.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	63,869.	134,732.	134,732.
	10a Investments - U.S. and state government obligations	STMT 9 1,299,086.	812,872.	812,872.
	b Investments - corporate stock			
	c Investments - corporate bonds	STMT 10 23,642,387.	24,795,688.	24,795,688.
	11 Investments - land, buildings, and equipment: basis			
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other	STMT 11 3,200,661.	984,662.	984,662.	
14 Land, buildings, and equipment: basis	195,821.			
Less: accumulated depreciation	STMT 12 79,788.	127,747.	116,033.	
15 Other assets (describe)	STATEMENT 13 324,206.	798,556.	798,556.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	48,628,514.	42,818,910.	42,818,910.	
Liabilities	17 Accounts payable and accrued expenses	463,304.	523,653.	
	18 Grants payable	100,000.	100,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)	STATEMENT 14 50,708.	46,562.	
23 Total liabilities (add lines 17 through 22)	614,012.	670,215.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here	<input checked="" type="checkbox"/>		
	and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted	30,434,236.	28,683,488.	
	25 Temporarily restricted	17,580,266.	13,465,207.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here	<input type="checkbox"/>		
	and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds				
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	48,014,502.	42,148,695.		
31 Total liabilities and net assets/fund balances	48,628,514.	42,818,910.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	48,014,502.
2 Enter amount from Part I, line 27a	2	-5,615,550.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	42,398,952.
5 Decreases not included in line 2 (itemize) UNREALIZED LOSSES ON INVESTMENTS	5	250,257.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	42,148,695.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>	P	02/10/17	05/16/17
<b>b CAPITAL GAINS FROM PASSTHROUGH</b>	P	01/01/17	12/31/17
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 991,030.		111,667.	879,363.
b 11,560,715.		11,455,787.	104,928.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			879,363.
b			104,928.
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 .....	2	984,291.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 .....	3	104,928.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	9,314,139.	30,860,211.	.301817
2015	7,327,640.	27,765,109.	.263915
2014	6,018,824.	23,173,856.	.259725
2013	4,700,178.	22,739,851.	.206693
2012	3,794,933.	19,999,013.	.189756

2 Total of line 1, column (d) .....	2	1.221906
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years .....	3	.244381
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 .....	4	30,109,395.
5 Multiply line 4 by line 3 .....	5	7,358,164.
6 Enter 1% of net investment income (1% of Part I, line 27b) .....	6	16,283.
7 Add lines 5 and 6 .....	7	7,374,447.
8 Enter qualifying distributions from Part XII, line 4 .....	8	11,025,559.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	16,283.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	16,283.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	16,283.
6 Credits/Payments:			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	29,705.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	7,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	36,705.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9		
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	20,422.	
11 Enter the amount of line 10 to be: <b>Credited to 2018 estimated tax</b> 0.   <b>Refunded</b>	11	20,422.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ <u>CA, MA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 containing questions about controlled entities, distributions, public inspection requirements, website address, books in care, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b containing questions about disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions  **5b**  X  
Organizations relying on a current notice regarding disaster assistance, check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 16**  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No **6b**  X  
If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **7b**  Yes  No  N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		741,429.	193,056.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARTER MITCHELL STEWART - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	220,691.	72,120.	0.
STEPHANIE DODSON - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	MANAGING DIRECTOR 50.00	205,246.	74,741.	0.
NATHALIE LAIDLER-KYLANDER - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	198,450.	75,804.	0.
NANCY HUANG - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	CHIEF OF STAFF 50.00	153,075.	58,699.	0.
REBECCA WEINTRAUB - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	MANAGING DIRECTOR 25.00	141,208.	28,002.	0.
<b>Total</b> number of other employees paid over \$50,000				<b>13</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ..... **0**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 17	5,450,000.
2 SEE STATEMENT 18	5,054,103.
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 UPTRUST - TO REDUCE UNNECESSARY INCARCERATION.	200,000.
2 FINCH THERAPEUTICS - TO EXPAND SAFE ACCESS TO FECAL MICROBIOTA TRANSPLANTATION FOR PATIENTS SUFFERING FROM RECURRENT CLOSTRIDIUM DIFFICILE INFECTIONS.	150,000.
3 All other program-related investments. See instructions. INVESTMENTS IN LANDED, INC. TO PROVIDE FINANCIAL ASSISTANCE TO ESSENTIAL WORKERS (POLICE, FIREFIGHTER, TEACHER, ETC.) TO ENABLE THEM TO PURCHASE HOMES IN COMMUNITIES WHERE THE ESSENTIAL WORKERS SERVE BUT FACE CONSTRAINTS IN FINDING AFFORDABLE HOUSING AND KINVOLVED, INC. TO PROMOTE SCHOOL ATTENDANCE.	300,000.
<b>Total.</b> Add lines 1 through 3 .....	<b>650,000.</b>

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities .....	1a	27,018,894.
b	Average of monthly cash balances .....	1b	1,631,070.
c	Fair market value of all other assets .....	1c	1,917,950.
d	<b>Total</b> (add lines 1a, b, and c) .....	1d	30,567,914.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....	1e	0.
2	Acquisition indebtedness applicable to line 1 assets .....	2	0.
3	Subtract line 2 from line 1d .....	3	30,567,914.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) .....	4	458,519.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 .....	5	30,109,395.
6	<b>Minimum investment return.</b> Enter 5% of line 5 .....	6	1,505,470.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6 .....	1	
2a	Tax on investment income for 2017 from Part VI, line 5 .....	2a	
b	Income tax for 2017. (This does not include the tax from Part VI.) .....	2b	
c	Add lines 2a and 2b .....	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1 .....	3	
4	Recoveries of amounts treated as qualifying distributions .....	4	
5	Add lines 3 and 4 .....	5	
6	Deduction from distributable amount (see instructions) .....	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 .....	7	

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 .....	1a	10,375,559.
b	Program-related investments - total from Part IX-B .....	1b	650,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes .....	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required) .....	3a	
b	Cash distribution test (attach the required schedule) .....	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 .....	4	11,025,559.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b .....	5	16,283.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 .....	6	11,009,276.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7 .....				
<b>2</b> Undistributed income, if any, as of the end of 2017:				
<b>a</b> Enter amount for 2016 only .....				
<b>b</b> Total for prior years:				
<b>3</b> Excess distributions carryover, if any, to 2017:				
<b>a</b> From 2012 .....				
<b>b</b> From 2013 .....				
<b>c</b> From 2014 .....				
<b>d</b> From 2015 .....				
<b>e</b> From 2016 .....				
<b>f</b> Total of lines 3a through e .....				
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ .....				
<b>a</b> Applied to 2016, but not more than line 2a ...				
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) ...				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) .....				
<b>d</b> Applied to 2017 distributable amount .....				
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 .....				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b .....				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed .....				
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions .....				
<b>e</b> Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr. ...				
<b>f</b> Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018 .....				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) .....				
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 .....				
<b>9</b> Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a .....				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2013 ...				
<b>b</b> Excess from 2014 ...				
<b>c</b> Excess from 2015 ...				
<b>d</b> Excess from 2016 ...				
<b>e</b> Excess from 2017 ...				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶ 12/12/01

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0.	0.	0.	0.	0.
<b>b</b> 85% of line 2a	0.	0.	0.	0.	0.
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed	11,025,559.	9,343,186.	7,365,026.	6,037,947.	33,771,718.
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	11,025,559.	9,343,186.	7,365,026.	6,037,947.	33,771,718.
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					0.
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	1,003,647.	1,028,674.	925,503.	772,462.	3,730,286.
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
<b>(3)</b> Largest amount of support from an exempt organization					0.
<b>(4)</b> Gross investment income					0.

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**SEE STATEMENT 19**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**SEE STATEMENT 20**

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ACCOUNTABILITY COUNSEL 244 KEARNY STREET, FLOOR 6 SAN FRANCISCO, CA 94108		PC	GENERAL OPERATING SUPPORT	100,000.
ADVENTURE SCIENTISTS (FORMERLY ADVENTURERS & SCIENTISTS FOR CONVERSATION) P.O. BOX 1834 BOZEMAN, MT 59771		PC	GENERAL OPERATING SUPPORT	100,000.
BRAVEN (FORMERLY BEYOND Z) 1046 WEST KINZIE STREET, SUITE 301 CHICAGO, IL 60642		PC	GENERAL OPERATING SUPPORT	100,000.
CAST (COMMUNITY ARTS STABILIZATION TRUST) 70 OTIS STREET SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	100,000.
CATIE'S CLOSET 19 SCHOOL STREET DRACUT, MA 01826		PC	GENERAL OPERATING SUPPORT	100,000.
<b>Total</b> ..... <b>SEE CONTINUATION SHEET(S)</b> ..... ▶ <b>3a</b>				<b>4,850,000.</b>
<b>b Approved for future payment</b>				
BAREFOOT LAW PLOT 1544, KOIRE CLOSE, BUKOTO KAMPALA, UGANDA		PC	GENERAL OPERATING SUPPORT	50,000.
OPEN UP RESOURCES (FOMERLY K-12 OER COLLABORATIVE) 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025		PC	GENERAL OPERATING SUPPORT	50,000.
<b>Total</b> ..... ▶ <b>3b</b>				<b>100,000.</b>

Part XVI-A Analysis of Income-Producing Activities

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue (a-f, g Fees and contracts from government agencies), 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments (720,805), 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate (a Debt-financed property, b Not debt-financed property), 6 Net rental income or (loss) from personal property, 7 Other investment income (900099, 2,963), 8 Gain or (loss) from sales of assets other than inventory (900099, 172, 18, 114,914), 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue (a-e), 12 Subtotal (3,135, 835,719, 0), 13 Total (838,854).

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. (with a downward arrow), Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

**Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash .....	1a(1)		X
(2) Other assets .....	1a(2)		X
<b>b</b> Other transactions:			
(1) Sales of assets to a noncharitable exempt organization .....	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization .....	1b(2)		X
(3) Rental of facilities, equipment, or other assets .....	1b(3)		X
(4) Reimbursement arrangements .....	1b(4)		X
(5) Loans or loan guarantees .....	1b(5)		X
(6) Performance of services or membership or fundraising solicitations .....	1b(6)		X
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees .....	1c		X
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?  Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign Here** Signature of officer or trustee: [Signature] Date: \_\_\_\_\_ Title: **CHIEF FINANCIAL OFFICER**

May the IRS discuss this return with the preparer shown below? See instr.  Yes  No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>MAGA E. KISRIV</b>	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN <b>P01008919</b>
	Firm's name <b>HOOD &amp; STRONG LLP</b>			Firm's EIN <b>94-1254756</b>	
	Firm's address <b>275 BATTERY ST, STE 900 SAN FRANCISCO, CA 94111</b>			Phone no. <b>415.781.0793</b>	

# Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868) .**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile), click on Charities & Non-Profits, and click on e-file for Charities and Non-Profits.

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.  <b>DRAPER RICHARDS KAPLAN FOUNDATION</b>	Employer identification number (EIN) or  <b>91-2172351</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>1600 EL CAMINO REAL, NO. 155</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>MENLO PARK, CA 94025</b>	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**MARY LACHNIT**

• The books are in the care of ▶ **1600 EL CAMINO REAL, SUITE 155 - MENLO PARK, CA 94025**  
 Telephone No. ▶ **650-319-7808** Fax No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 6-month extension of time until **NOVEMBER 15, 2018**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶  calendar year **2017** or
- ▶  tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>36,705.</b>
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	<b>29,705.</b>
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>7,000.</b>

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTER FOR THE STUDY OF SOCIAL POLICY 1575 EYE STREET, NW SUITE 500 WASHINGTON, DC 20005		PC	GENERAL OPERATING SUPPORT	50,000.
CITY HEALTH WORKS 127 WEST 127TH STREET, SUITE 207 NEW YORK, NY 10027		PC	PROJECT: FOSTER AMERICA	50,000.
CLEAN ENERGY TRUST 20 N WACKER DRIVE, SUITE 734 CHICAGO, IL 60606		PC	GENERAL OPERATING SUPPORT	50,000.
COMMON MARKET 428 EAST ERIE AVENUE PHILADELPHIA, PA 19134		PC	GENERAL OPERATING SUPPORT	100,000.
COMMUNITY PARTNERS 1000 N. ALAMEDA ST. SUITE 240 LOS ANGELES, CA 90012		PC	PROJECT: CENTER FOR THE GOOD FOOD PURCHASING	100,000.
CRISIS TEXT LINE 24 WEST 25TH STREET, FLOOR 6 NEW YORK, NY 10010		PC	GENERAL OPERATING SUPPORT	50,000.
D-REV 695 MINNESOTA STREET SAN FRANCISCO, CA 94107		PC	GENERAL OPERATING SUPPORT	50,000.
EDBUILD 140 BAY STREET, SUITE 2 JERSEY CITY, NJ 07302		PC	GENERAL OPERATING SUPPORT	100,000.
EDUCATION OPENS DOORS P.O. BOX 601971 DALLAS, TX 75360		PC	GENERAL OPERATING SUPPORT	100,000.
EFORALL 175 CABOT STREET, SUITE 100 LOWELL, MA 01854		PC	GENERAL OPERATING SUPPORT	100,000.
<b>Total from continuation sheets</b>				<b>4,350,000.</b>

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EMPOWER SCHOOLS 24 SCHOOL STREET, 3RD FLOOR BOSTON, MA 02108		PC	GENERAL OPERATING SUPPORT	100,000.
ESSIE JUSTICE GROUP 300 FRANK H OGAWA PLAZA #420 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	100,000.
FOOD FORWARD INC. 7412 FULTON AVE. #3 NORTH HOLLYWOOD, CA 91605		PC	GENERAL OPERATING SUPPORT	100,000.
FUND FOR THE CITY OF NY 121 SIXTH AVENUE 6TH FL NEW YORK, NY 10013		PC	PROJECT: POWER OF TWO	100,000.
GLOBALXPLOER 1500 1ST AVE N STE F104 BIRMINGHAM, AL 35203		PC	GENERAL OPERATING SUPPORT	50,000.
GREENWAVE ORGANIZATION 315 FRONT ST NEW HAVEN, CT 06513		PC	GENERAL OPERATING SUPPORT	50,000.
THE GROUNDTRUTH PROJECT 10 GUEST STREET BOSTON, MA 02135		PC	GENERAL OPERATING SUPPORT	100,000.
IDEO.ORG 444 SPEAR STREET, SUITE 213 SAN FRANCISCO, CA 94105		PC	GENERAL OPERATING SUPPORT	50,000.
IMMIGRANT JUSTICE CORPS 17 BATTERY PLACE, SUITE 236 NEW YORK, NY 10004		PC	GENERAL OPERATING SUPPORT	100,000.
INDUS ACTION INITIATIVES B-19 DEFENCE COLONY NEW DELHI, INDIA 110024		PC	GENERAL OPERATING SUPPORT	150,000.
<b>Total from continuation sheets</b> .....				



**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JUMA VENTURES 131 STEUART STREET, SUITE 201 SAN FRANCISCO, CA 94105		PC	PROJECT: 12 FOR LIFE	50,000.
JUSTICE RAPID RESPONSE 205 EAST 42ND SREET, 20TH FLOOR NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	100,000.
LABORATORIA 61 GREENPOINT AVE STE 114 BROOKLYN, NY 11222		PC	GENERAL OPERATING SUPPORT	100,000.
LANDED 450 TOWNSEND STREET #201 SAN FRANCISCO, CA 94107		NC	GENERAL OPERATING SUPPORT	50,000.
LAVA MAE 3543 18TH STREET, #24 SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	50,000.
MUSO 3254 19TH STREET, 2ND FLOOR SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	50,000.
NEST 501 FIFTH AVENUE, SUITE 1608 NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	50,000.
NEW STORY 870 MARKET STREET, SUITE 1142 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	100,000.
NOORA HEALTH 2443 FILLMORE STREET, #380-3203 SAN FRANCISCO, CA 94115		PC	GENERAL OPERATING SUPPORT	100,000.
NUDGE FOUNDATION 1227 WILLOWDALE LN IRVING, TX 75063		PC	GENERAL OPERATING SUPPORT	100,000.
<b>Total from continuation sheets</b> .....				

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OPEN DOOR LEGAL 4622 3RD ST SAN FRANCISCO, CA 94124		PC	GENERAL OPERATING SUPPORT	50,000.
OPEN UP RESOURCES (FOMERLY K-12 OER COLLABORATIVE) 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025		PC	GENERAL OPERATING SUPPORT	50,000.
PHILLIPS BROOKS HOUSE ASSOCIATION 1 HARVARD YARD CAMBRIDGE, MA 02138		PC	PROJECT: Y2Y	100,000.
POLLINATE ENERGY LEVEL 2, 4/1, MILLERS TANK BUND RD VASANTH NAGAR, BENGALURU, KARNATAKA, INDIA 560051		PC	GENERAL OPERATING SUPPORT	150,000.
RAINFOREST CONNECTION 77 VAN NESS AVE, SUITE 101-1717 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	100,000.
REGENTS OF THE UNIV OF CA 1111 FRANKLIN STREET OAKLAND, CA 94607		PC	PROJECT: HEAL INITIATIVE (UCSF)	50,000.
RULING OUR EXPERIENCES (ROX) 420 CHARTIERS AVENUE MCKEES ROCKS, PA 15136		PC	GENERAL OPERATING SUPPORT	100,000.
SAFE PASSAGES 250 FRANK OGAWA PLZ STE 6306 OAKLAND, CA 94612		PC	PROJECT: ADVANCE PEACE	100,000.
SAVELIFE FOUNDATION USA 200 E 61ST APT 254B NEW YORK, NY 10065		PC	GENERAL OPERATING SUPPORT	50,000.
SERVICE YEAR EXCHANGE 1400 EYE ST. NW, SUITE 900 WASHINGTON, DC 20005		PC	GENERAL OPERATING SUPPORT	100,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SIMPRINTS TECHNOLOGY THE BRADFIELD CENTRE, UNIT 184, CAMBRIDGE SCIENCE PARK, MILTON ROAD CAMBRIDGE, CAMBRIDGESHIRE, UNITED		PC	GENERAL OPERATING SUPPORT	100,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVE, #224 NEW YORK, NY 10016		PC	GENERAL OPERATING SUPPORT	100,000.
SPARK MICROGRANTS 116 W HOUSTON STREET, FLOOR 2 NEW YORK, NY 10012		PC	GENERAL OPERATING SUPPORT	50,000.
STRIVETOGETHER 125 EAST NINTH STREET, 2ND FLOOR CINCINATTI, OH 45202		PC	GENERAL OPERATING SUPPORT	50,000.
STRONGMINDS 515 VALLEY STREET, SUITE 6 MAPLEWOOD, NJ 07040		PC	GENERAL OPERATING SUPPORT	100,000.
THE EARTH GENOME 121 PEPPER DRIVE LOS ALTOS, CA 94022		PC	GENERAL OPERATING SUPPORT	100,000.
THE EMPOWERMENT PLAN 1401 VERMONT ST DETROIT, MI 48216		PC	GENERAL OPERATING SUPPORT	50,000.
THE RESET FOUNDATION P.O. BOX 2305 BERKELEY, CA 94702		PC	GENERAL OPERATING SUPPORT	100,000.
THE TIDES CENTER 1014 TORNEY AVE SAN FRANCISCO, CA 94129		PC	PROJECTS: EYELLIANCE AND FOSTER AMERICA	150,000.
TRANSCEND EDUCATION 159 LINCOLN AVENUE HASTINGS-ON-HUDSON, NY 10706		PC	GENERAL OPERATING SUPPORT	100,000.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UPSTREAM USA 1630 SAN PABLO AVE SUITE 400 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	50,000.
URBAN JUSTICE CENTER 40 RECTOR STREET, 9TH FLOOR NEW YORK, NY 10006		PC	PROJECT: INTERNATIONAL REFUGEE ASSISTANCE PROJECT	100,000.
VOTE.ORG 1270 GROVE STREET #301 SAN FRANCISCO, CA 94117		PC	GENERAL OPERATING SUPPORT	50,000.
<b>Total from continuation sheets</b> .....				

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2017**

Name of the organization

DRAPER RICHARDS KAPLAN FOUNDATION

Employer identification number

91-2172351

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization <b>DRAPER RICHARDS KAPLAN FOUNDATION</b>	Employer identification number <b>91-2172351</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ARTHUR ROCK ONE MARITIME PLAZA, SUITE 1220 SAN FRANCISCO, CA 94111	\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	COMMUNITY FOUNDATION OF GREATER MEMPHIS 1900 UNION AVENUE MEMPHIS, TN 38104	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	DRAPER FOUNDATION 55 EAST THIRD AVENUE SAN MATEO, CA 94401	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	FIDELITY CHARITABLE 200 SEAPORT BLVD, MAIL ZONE NCW4B BOSTON, MA 02210	\$ 400,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	FIDELITY CHARITABLE - THE TRUSTEES' FUND 200 SEAPORT BLVD, MAIL ZONE NCW4B BOSTON, MA 02210	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	GORDON & BETTY MOORE FOUNDATION 1661 PAGE MILL ROAD PALO ALTO, CA 94304	\$ 400,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

<b>Name of organization</b>  DRAPER RICHARDS KAPLAN FOUNDATION	<b>Employer identification number</b>  91-2172351
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	HOUSTON ENDOWMENT  600 TRAVIS STREET, SUITE 6400  HOUSTON, TX 77002	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	JEWISH COMMUNITY FEDERATION  121 STEUART STREET  SAN FRANCISCO, CA 94105	\$ 600,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	LYDA HILL  2001 ROSS AVENUE, SUITE 4600  DALLAS, TX 75201	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
10	MARIN COMMUNITY FOUNDATION  5 HAMILTON LANDING, SUITE 200  NOVATO, CA 94949	\$ 250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	PERKINS HUNTER FOUNDATION  1 SOUTH WACKER DRIVE  CHICAGO, IL 60606	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
12	SCHWAB CHARITABLE FUND  211 MAIN STREET, FLOOR 10  SAN FRANCISCO, CA 94105	\$ 150,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization  <b>DRAPER RICHARDS KAPLAN FOUNDATION</b>	Employer identification number  <b>91-2172351</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	THE CROTTY FAMILY FOUNDATION  1 MARINA PARK DRIVE, NO. 1150  BOSTON, MA 02210	\$ 62,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
14	THE RICHARDS FOUNDATION  P.O. BOX 2545  CARROLLTON, GA 30112	\$ 250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
15	VANGUARD CHARITABLE  2670 WARWICK AVENUE  WARWICK, RI 02889	\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization  <b>DRAPER RICHARDS KAPLAN FOUNDATION</b>	Employer identification number  <b>91-2172351</b>
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**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
9	16,700 SHARES OF GLOBAL BLOOD THERAPEUTIC	\$ 490,145.	04/19/17
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization  <b>DRAPER RICHARDS KAPLAN FOUNDATION</b>	Employer identification number  <b>91-2172351</b>
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**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD
CAPITAL GAINS FROM PASSTHROUGH	PURCHASED		01/01/17		12/31/17
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
11,560,715.	11,442,666.	0.	0.	118,049.	
CAPITAL GAINS DIVIDENDS FROM PART IV					0.
TOTAL TO FORM 990-PF, PART I, LINE 6A					118,049.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	720,805.	722,751.	722,751.
TOTAL TO PART I, LINE 3	720,805.	722,751.	722,751.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PASSTHROUGH INCOME		0.	2,720.
TOTAL TO FORM 990-PF, PART I, LINE 11		0.	2,720.

FORM 990-PF	LEGAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	12,035.	0.	12,035.	0.	
TO FM 990-PF, PG 1, LN 16A	12,035.	0.	12,035.	0.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	32,400.	0.	32,400.	0.	
TO FORM 990-PF, PG 1, LN 16B	32,400.	0.	32,400.	0.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER PROFESSIONAL FEES	382,484.	67,653.	314,831.	0.	
TO FORM 990-PF, PG 1, LN 16C	382,484.	67,653.	314,831.	0.	

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAXES	9,161.	0.	0.	9,161.	
TO FORM 990-PF, PG 1, LN 18	9,161.	0.	0.	9,161.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ENTREPRENEURIAL EXPENSES	523,590.	0.	523,590.	0.	
MEALS & ENTERTAINMENT	109,300.	0.	109,300.	0.	
DONORS EVENT	88,706.	0.	88,706.	0.	
DUES & SUBSCRIPTIONS	75,602.	0.	75,602.	0.	
COMMUNICATIONS	61,262.	0.	61,262.	0.	
OFFICE SUPPLIES	56,376.	0.	56,376.	0.	
MARKETING	54,827.	0.	54,827.	0.	
STAFF TRAINING & DEVELOPMENT	24,838.	0.	24,838.	0.	
COMPUTER SUPPLIES & EQUIPMENT	17,895.	0.	17,895.	0.	
INSURANCE	14,555.	0.	14,555.	0.	
WEBSITE	13,210.	0.	13,210.	0.	
REPAIRS & SERVICES	7,908.	0.	7,908.	0.	
POSTAGE & DELIVERY	2,834.	0.	2,834.	0.	
OTHER EXPENSES	2,614.	0.	2,614.	0.	
PASSTHROUGH DEDUCTIONS EXEMPT FUNCTION EXPENSES EXCEEDING INCOME	0.	15,543.	0.	0.	
TO FORM 990-PF, PG 1, LN 23	1,053,517.	15,543.	-4,462,881.	5,516,398.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
MS FUND III GOVERNMENT SECURITIES	X		614,265.	614,265.	
MS FUND III MUNICIPAL BONDS		X	198,607.	198,607.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			614,265.	614,265.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			198,607.	198,607.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			812,872.	812,872.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
MS FUND III FIXED INCOME- SEE ATTACHMENT A		24,795,688.	24,795,688.
TOTAL TO FORM 990-PF, PART II, LINE 10C		24,795,688.	24,795,688.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LEGACY VENTURES IV, LLC	FMV	984,662.	984,662.
TOTAL TO FORM 990-PF, PART II, LINE 13		984,662.	984,662.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	12
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE	58,052.	28,103.	29,949.
EQUIPMENT	21,858.	6,440.	15,418.
LEASEHOLD IMPROVEMENTS	42,517.	16,703.	25,814.
INTANGIBLE ASSETS	73,394.	28,542.	44,852.
TOTAL TO FM 990-PF, PART II, LN 14	195,821.	79,788.	116,033.

FORM 990-PF	OTHER ASSETS	STATEMENT	13
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSITS	41,246.	40,946.	40,946.
EMPLOYEE ADVANCES	35,597.	10,247.	10,247.
AVANTI	97,363.	97,363.	97,363.
FINCH THERAPEUTICS, INC	150,000.	150,000.	150,000.
LANDED, INC	0.	150,000.	150,000.
UPTRUST	0.	200,000.	200,000.
KINVOLVED	0.	150,000.	150,000.
TO FORM 990-PF, PART II, LINE 15	324,206.	798,556.	798,556.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	14
DESCRIPTION		BOY AMOUNT	EOY AMOUNT
ACCRUED PAYROLL LIABILITIES		50,708.	46,562.
TOTAL TO FORM 990-PF, PART II, LINE 22		50,708.	46,562.

FORM 990-PF                      PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS                      STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM H. DRAPER III 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 20.00	0.	0.	0.
ROBIN RICHARDS DONOHOE 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
ROBERT S. KAPLAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
JAMES BILDNER 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF EXECUTIVE OFFICER 50.00	315,504.	81,011.	0.
CHRISTINE REMEY CHIN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	MANAGING PARTNER 50.00	260,000.	51,327.	0.
JARED THEODORE LEIDERMAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF FINANCIAL OFFICER 50.00	165,925.	60,718.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		741,429.	193,056.	0.

FORM 990-PF EXPENDITURE RESPONSIBILITY STATEMENT STATEMENT 16  
 PART VII-B, LINE 5C

GRANTEE'S NAME

LANDED, INC.

GRANTEE'S ADDRESS

548 MARKET ST, #79600  
 SAN FRANCISCO, CA 94104

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED	VERIFICATION DATE
50,000.	04/04/17	50,000.	10/19/18

PURPOSE OF GRANT

TO FUND AND SUPPORT COMPANY'S PROGRAMS WHICH PROVIDE FINANCIAL ASSISTANCE TO ESSENTIAL WORKERS (POLICE, FIREFIGHTERS, TEACHERS AND THE LIKE) TO ENABLE THEM TO PURCHASE HOMES IN COMMUNITIES WHERE THE ESSENTIAL WORKERS SERVE BUT FACE CONSTRAINTS IN FINDING AFFORDABLE HOUSING.

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

LANDED CURRENTLY OFFERS DOWN PAYMENT ASSISTANCE AT 93 SCHOOL DISTRICTS WITH 77,000 ELIGIBLE EMPLOYEES, SERVING 970,000 STUDENTS. IN 2018, LANDED HAS EXPANDED TO THROUGHOUT CALIFORNIA, AND LAUNCHED IN DENVER COLORADO AND SEATTLE WASHINGTON.



FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 17

ACTIVITY ONE

IN 2017, THE FOUNDATION FUNDED 59 SOCIAL ENTERPRISE ORGANIZATIONS CREATING SOCIAL CHANGE AROUND THE WORLD THROUGH PROGRAM RELATED INVESTMENTS AND/OR UNRESTRICTED GRANTS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

5,450,000.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 18

ACTIVITY TWO

THE FOUNDATION PROVIDES EACH PORTFOLIO ORGANIZATION WITH SIGNIFICANT HUMAN AND OTHER SUPPORT SERVICES INCLUDING BOARD SERVICE, STRATEGIC AND OPERATING SUPPORT, FINANCIAL, ORGANIZATIONAL, GOVERNANCE AND LEADERSHIP TRAINING AND A HOST OF OTHER CRITICAL NETWORK AND OTHER SUPPORT THROUGHOUT THE FOUNDATION'S THREE-YEAR COMMITMENT TO EACH ORGANIZATION. BETWEEN INCEPTION AND DECEMBER 31, 2017, DRK HAS FUNDED AND SUPPORTED 127 SOCIAL IMPACT ORGANIZATIONS AROUND THE WORLD.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

5,054,103.

FORM 990-PF PART XV - LINE 1A STATEMENT 19  
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

WILLIAM H. DRAPER III  
ROBIN RICHARDS DONOHOE  
ROBERT S. KAPLAN

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 20

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JIM BILDNER, CHIEF EXECUTIVE OFFICER  
1600 EL CAMINO REAL, SUITE 155  
MENLO PARK, CA 94025

<u>TELEPHONE NUMBER</u>	<u>NAME OF GRANT PROGRAM</u>
650-319-7808	ALL PROGRAMS

EMAIL ADDRESS

N/A

FORM AND CONTENT OF APPLICATIONS

ORGANIZATIONS INTERESTED IN REQUESTING A GRANT FROM THE FOUNDATION ARE ENCOURAGED TO REVIEW OUR FUNDING CRITERIA AND OBJECTIVES FIRST AND THEN SUBMIT AN ONLINE APPLICATION THROUGH OUR WEBSITE AT WWW.DRKFOUNDATION.ORG. THE FOUNDATION REVIEWS ALL ONLINE APPLICATIONS AND BASED ON OUR APPRAISAL OF THE FIT OF THE VENTURE WITH OUR INVESTMENT STYLE, A SELECT NUMBER OF APPLICATIONS WILL MOVE TO THE SUBSEQUENT PHASES OF DILIGENCE.

ANY SUBMISSION DEADLINES

THERE ARE NO DEADLINES FOR SUBMISSION; ACCEPTED ALL YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

ORGANIZATIONS MUST BE AT THE BEGINNING OF THEIR DEVELOPMENT. WE TYPICALLY PROVIDE THE ORGANIZATION'S FIRST MAJOR INVESTMENT. WE DO NOT FUND ORGANIZATIONS LATER IN THEIR LIFECYCLE. ORGANIZATIONS MUST BE BASED IN THE UNITED STATES ONLY. PREFERENCE IS GIVEN TO THOSE ORGANIZATIONS THAT ARE NATIONAL OR GLOBAL IN REACH AND SOCIAL ISSUE.

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GENERAL EXPLANATION

STATEMENT 21

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FORM/LINE IDENTIFIER

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FORM 990-PF, PART VIII, COLUMN (D):

EXPLANATION:

PART VIII, COLUMN (D) INCLUDES 401K, HEALTH AND LIFE INSURANCE, AND HEALTH SAVINGS ACCOUNT DEPOSITS.

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
AMERITECH CAPITAL FUNDING CO GUARANTEE Coupon Rate 6.450%; Matures 01/15/2018; CUSIP 030955AM0 Int. Semi-Annually Jan/Jul 15; Yield to Maturity 2.365%; S&P BBB+ (-); Issued 01/21/98; Asset Class: FI & Pref	12/9/15	125,000.000	\$108.105 \$100.154	\$100.156	\$135,131.25 \$125,192.48	\$125,195.00	\$2.52 LT	\$4,031.00 \$3,717.70	3.21
FORD MOTOR CREDIT CO LL Coupon Rate 2.375%; Matures 01/16/2018; CUSIP 34540UAA7 Int. Semi-Annually Jan/Jul 16; Yield to Maturity 2.064%; Moody BAA2 S&P BBB; Issued 01/11/13; Asset Class: FI & Pref	12/8/15	200,000.000	100.243 100.005	100.012	200,486.00 200,009.83	200,024.00	14.17 LT	2,375.00 2,177.08	1.18

DRAPER RICHARDS KAPLAN FOUNDATION  
ATTACHMENT A  
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351  
FOR YEAR END 12/31/17

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
JP MORGAN CHASE & CO Coupon Rate 1.800%; Matures 01/25/2018; CUSIP 46625HJG6 Int. Semi-Annually Jan/Jul 25; Yield to Maturity 1.905%; Moody A3 S&P A-; Issued 01/25/13; Asset Class: FI & Pref	12/17/15	150,000.000	99.913 99.913	99.992	149,869.50 149,869.50	149,988.00	118.50 LT	1,350.00 1,169.99	0.90
VENTAS REALTY LP/CAP CRP Coupon Rate 2.000%; Matures 02/15/2018; CUSIP 92276MBA2 Int. Semi-Annually Feb/Aug 15; Callable \$100.00 on 01/28/18; Yield to Maturity 2.034%; Moody BAA1 S&P BBB+; Issued 12/13/12; Asset Class: FI & Pref	3/31/16	200,000.000	100.000 100.000	99.994	200,000.00 200,000.00	199,988.00	(12.00) LT	2,000.00 1,511.11	1.00
HEALTHCARE REIT INC Coupon Rate 2.250%; Matures 03/15/2018; CUSIP 42217KAZ9 Int. Semi-Annually Mar/Sep 15; Yield to Maturity 2.046%; Moody BAA1 S&P BBB+; Issued 12/06/12; Asset Class: FI & Pref	1/25/16	250,000.000	100.366 100.036	100.039	250,915.00 250,089.75	250,097.50	7.75 LT	2,813.00 1,656.24	1.12
ABBVIE INC Coupon Rate 1.800%; Matures 05/14/2018; CUSIP 00287YAN9 Int. Semi-Annually May/Nov 14; Yield to Maturity 1.929%; Moody BAA2 S&P A-; Issued 05/14/15; Asset Class: FI & Pref	1/26/16	250,000.000	99.678 99.678	99.951	249,195.00 249,195.00	249,877.50	682.50 LT	2,250.00 587.50	0.90
BOEING CO Coupon Rate 0.950%; Matures 05/15/2018; CUSIP 097023BE4 Int. Semi-Annually May/Nov 15; Yield to Maturity 1.686%; Moody A2 S&P A; Issued 05/03/13; Asset Class: FI & Pref	6/23/16	200,000.000	99.900 99.900	99.727	199,800.00 199,800.00	199,454.00	(346.00) LT	950.00 242.77	0.47
TORONTO DOMINION BANK Coupon Rate 1.750%; Matures 07/23/2018; CUSIP 89114QB64 Int. Semi-Annually Jan/Jul 23; Yield to Maturity 1.895%; Moody AA2 S&P AA-; Issued 07/23/15; Asset Class: FI & Pref	12/17/15	125,000.000	99.885 99.885	99.919	124,856.25 124,856.25	124,898.75	42.50 LT	2,188.00 960.06	1.75
AUTOZONE INC Coupon Rate 7.125%; Matures 08/01/2018; CUSIP 053332AJ1 Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.142%; Moody BAA1 S&P BBB; Issued 08/04/08; Asset Class: FI & Pref	1/19/16	175,000.000	112.473 102.936	102.871	196,827.75 180,138.86	180,024.25	(114.61) LT	12,469.00 5,195.31	6.92
BEST BUY CO INC Coupon Rate 5.000%; Matures 08/01/2018; CUSIP 086516AM3	11/30/15 2/18/16	125,000.000 130,000.000	104.375 100.990 101.045	101.646 101.646	130,468.75 126,237.93 131,358.87	127,057.50 132,139.80	819.57 LT 780.93 LT		
	Total	255,000.000			265,993.75 257,596.80	259,197.30	1,600.50 LT	12,750.00 5,312.50	4.91
Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.142%; Moody BAA1 S&P BBB-; Issued 07/16/13; Asset Class: FI & Pref									
DAIMLER FINANCE NA LLC Coupon Rate 2.375%; Matures 08/01/2018; CUSIP U2339CBF4 Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.008%; Moody A2 S&P A; Issued 08/01/13; Asset Class: FI & Pref	11/25/15	150,000.000	100.607 100.136	100.211	150,910.50 150,203.44	150,316.50	113.06 LT	3,563.00 1,484.37	2.37
METLIFE INC Coupon Rate 6.817%; Matures 08/15/2018; CUSIP 59156RAR9 Int. Semi-Annually Feb/Aug 15; Yield to Maturity 2.047%; Moody A3 S&P A-; Issued 08/15/08; Asset Class: FI & Pref	12/8/15	150,000.000	112.799 103.029	102.931	169,198.50 154,543.80	154,396.50	(147.30) LT	10,226.00 3,862.96	6.62
CAPITAL ONE NA/MCLEAN VA Coupon Rate 2.350%; Matures 08/17/2018; CUSIP 14042E5V8 Int. Semi-Annually Feb/Aug 17; Callable \$100.00 on 07/17/18; Yield to Call 2.059%; Moody BAA1 S&P BBB+; Issued 08/18/15; Asset Class: FI & Pref	1/7/16	250,000.000	100.404 100.100	100.156	251,010.00 250,249.40	250,390.00	140.60 LT	5,875.00 2,186.80	2.34

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GILEAD SCIENCES INC Coupon Rate 1.850%; Matures 09/04/2018; CUSIP 375558BE2 Int. Semi-Annually Mar/Sep 04; Yield to Maturity 1.843%; Moody A3 S&P A; Issued 09/14/15; Asset Class: FI & Pref	1/27/16	243,000.000	100.685 100.181	100.004	244,664.55 243,439.75	243,009.72	(430.03) LT	4,496.00 1,461.03	1.85
TORONTO-DOMINION BANK Coupon Rate 1.450%; Matures 09/06/2018; CUSIP 89114QBN7 Int. Semi-Annually Mar/Sep 06; Yield to Maturity 1.983%; Moody AA2 S&P AA-; Issued 09/06/16; Asset Class: FI & Pref	8/30/16	150,000.000	99.982 99.982	99.641	149,973.00 149,973.00	149,461.50	(511.50) LT	2,175.00 694.79	1.45
TOYOTA MOTOR CREDIT CORP Coupon Rate 2.000%; Matures 10/24/2018; CUSIP 89236TAY1 Int. Semi-Annually Apr/Oct 24; Yield to Maturity 1.843%; Moody AA3 S&P AA-; Issued 10/24/13; Asset Class: FI & Pref	12/10/15	150,000.000	101.112 100.322	100.125	151,668.00 150,482.37	150,187.50	(294.87) LT	3,000.00 558.33	1.99
BNP PARIBAS Coupon Rate 2.400%; Matures 12/12/2018; CUSIP 05574LTX6 Int. Semi-Annually Jun/Dec 12; Yield to Maturity 2.097%; Moody AA3 S&P A; Issued 12/12/13; Asset Class: FI & Pref	1/13/16	125,000.000	101.580 100.526	100.282	126,975.00 125,657.03	125,352.50	(304.53) LT	3,000.00 158.33	2.39
JOHN DEERE CAPITAL CORP Coupon Rate 1.950%; Matures 01/08/2019; CUSIP 24422ETE9 Int. Semi-Annually Jan/Jul 08; Yield to Maturity 2.035%; Moody A2 S&P A; Issued 01/08/16; Asset Class: FI & Pref	1/5/16	150,000.000	99.994 99.994	99.915	149,991.00 149,991.00	149,872.50	(118.50) LT	2,925.00 1,405.62	1.95
DR PEPPER SNAPPLE GROUP Coupon Rate 2.600%; Matures 01/15/2019; CUSIP 26138EAN9 Int. Semi-Annually Jan/Jul 15; Yield to Maturity 2.291%; Moody BAA1 S&P BBB+; Issued 11/15/11; Asset Class: FI & Pref	1/22/16	225,000.000	100.781 100.280	100.315	226,757.25 225,628.97	225,708.75	79.78 LT	5,850.00 2,697.49	2.59
GENERAL MOTORS FINL CO Coupon Rate 3.100%; Matures 01/15/2019; CUSIP 37045XBB1	1/22/16 2/26/16	225,000.000 75,000.000	99.523 99.523 99.099 99.099	100.535 100.535	223,926.75 223,926.75 74,324.18 74,324.18	226,203.75 75,401.25	2,277.00 LT 1,077.07 LT		
	Total	300,000.000			298,250.93 298,250.93	301,605.00	3,354.07 LT	9,300.00 4,288.33	3.08
Int. Semi-Annually Jan/Jul 15; Yield to Maturity 2.574%; Moody BAA3 S&P BBB; Issued 10/13/15; Asset Class: FI & Pref									
ORACLE CORP Coupon Rate 2.375%; Matures 01/15/2019; CUSIP 68389XAQ8 Int. Semi-Annually Jan/Jul 15; Yield to Maturity 1.967%; Moody A1 S&P AA-; Issued 07/16/13; Asset Class: FI & Pref	10/14/16	100,000.000	102.209 101.033	100.417	102,209.00 101,033.11	100,417.00	(616.11) LT	2,375.00 1,095.13	2.36
SYNCHRONY FINANCIAL Coupon Rate 2.600%; Matures 01/15/2019; CUSIP 87165BAJ2	12/1/15 2/26/16	150,000.000 150,000.000	99.974 99.974 99.488 99.488	100.189 100.189	149,961.00 149,961.00 149,232.00 149,232.00	150,283.50 150,283.50	322.50 LT 1,051.50 LT		
	Total	300,000.000			299,193.00 299,193.00	300,567.00	1,374.00 LT	7,800.00 3,596.66	2.59
Int. Semi-Annually Jan/Jul 15; Callable \$100.00 on 12/15/18; Yield to Call 2.398%; S&P BBB-; Issued 12/04/15; Asset Class: FI & Pref									
WELLS FARGO & COMPANY Coupon Rate 2.150%; Matures 01/15/2019; CUSIP 94974BFQ8	1/29/16	291,000.000	100.981 100.351	100.161	293,854.71 292,022.43	291,468.51	(553.92) LT	6,257.00 2,884.94	2.14

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Int. Semi-Annually Jan/Jul 15; Yield to Maturity 1.992%; Moody A2 S&P A; Issued 10/28/13; Asset Class: FI & Pref									
ANHEUSER-BUSCH INBEV FIN	1/13/16	140,000.00	99.729	99.793	139,620.60			2,660.00	1.90
Coupon Rate 1.900%; Matures 02/01/2019; CUSIP 035242AG1			99.729		139,620.60	139,710.20	89.60 LT	1,108.33	
Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.094%; Moody A3 S&P A-; Issued 01/25/16; Asset Class: FI & Pref									
SIMON PROPERTY GROUP LP	1/27/16	275,000.00	101.318	100.097	278,623.40			6,050.00	2.19
Coupon Rate 2.200%; Matures 02/01/2019; CUSIP 828807CQ8			100.484		276,329.95	275,266.75	(1,063.20) LT	2,520.83	
Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 11/01/18; Yield to Call 2.080%; Moody A2 S&P A; Issued 01/21/14; Asset Class: FI & Pref									
THERMO FISHER SCIENTIFIC	2/2/16	250,000.00	100.750	100.244	251,875.00			6,000.00	2.39
Coupon Rate 2.400%; Matures 02/01/2019; CUSIP 883556BE1			100.277		250,693.17	250,610.00	(83.17) LT	2,500.00	
Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.170%; Moody BAA2 S&P BBB; Issued 12/11/13; Asset Class: FI & Pref									
CISCO SYSTEMS INC	2/4/16	225,000.00	110.075	103.244	247,668.75			11,138.00	4.79
Coupon Rate 4.950%; Matures 02/15/2019; CUSIP 17275RAE2			103.801		233,551.36	232,299.00	(1,252.36) LT	4,207.50	
Int. Semi-Annually Feb/Aug 15; Yield to Maturity 2.010%; Moody A1 S&P AA-; Issued 02/17/09; Asset Class: FI & Pref									
TOYOTA MOTOR CREDIT CORP	2/16/16	100,000.00	99.988	99.555	99,988.00			1,700.00	1.70
Coupon Rate 1.700%; Matures 02/19/2019; CUSIP 89236TCU7			99.988		99,988.00	99,555.00	(433.00) LT	623.33	
Int. Semi-Annually Feb/Aug 19; Yield to Maturity 2.099%; Moody AA3 S&P AA-; Issued 02/19/16; Asset Class: FI & Pref									
APPLE INC	2/16/16	200,000.00	99.983	99.765	199,966.00			3,400.00	1.70
Coupon Rate 1.700%; Matures 02/22/2019; CUSIP 037833BQ2			99.983		199,966.00	199,530.00	(436.00) LT	1,208.88	
Int. Semi-Annually Feb/Aug 23; Yield to Maturity 1.908%; Moody AA1 S&P AA+; Issued 02/23/16; Asset Class: FI & Pref									
CISCO SYSTEM INC	2/22/16	100,000.00	99.971	99.569	99,971.00			1,600.00	1.60
Coupon Rate 1.600%; Matures 02/28/2019; CUSIP 17275RBB7			99.971		99,971.00	99,569.00	(402.00) LT	546.66	
Int. Semi-Annually Feb/Aug 28; Yield to Maturity 1.978%; Moody A1 S&P AA-; Issued 02/29/16; Asset Class: FI & Pref									
SANTANDER UK PLC	6/17/16	300,000.00	101.773	100.280	305,319.00			7,500.00	2.49
Coupon Rate 2.500%; Matures 03/14/2019; CUSIP 80283LAK9			100.792		302,376.41	300,840.00	(1,536.41) LT	2,229.16	
Int. Semi-Annually Mar/Sep 14; Yield to Maturity 2.261%; Moody AA3 S&P A; Issued 03/14/16; Asset Class: FI & Pref									
BERKSHIRE HATHAWAY FIN	3/8/16	290,000.00	99.924	99.677	289,779.60			4,930.00	1.70
Coupon Rate 1.700%; Matures 03/15/2019; CUSIP 084664CG4			99.924		289,779.60	289,063.30	(716.30) LT	1,451.61	
Int. Semi-Annually Mar/Sep 15; Yield to Maturity 1.972%; Moody AA2 S&P AA; Issued 03/15/16; Asset Class: FI & Pref									
MEDTRONIC INC	1/14/16	110,000.00	111.327	103.918	122,459.70			6,160.00	5.38
Coupon Rate 5.600%; Matures 03/15/2019; CUSIP 585055AN6			104.408		114,848.47	114,309.80	(538.67) LT	1,813.77	
Int. Semi-Annually Mar/Sep 15; Yield to Maturity 2.283%; Moody A3 S&P A; Issued 03/12/09; Asset Class: FI & Pref									
IBM CORP	10/21/16	230,000.00	101.264	99.740	232,907.20			4,140.00	1.80
Coupon Rate 1.800%; Matures 05/17/2019; CUSIP 459200JE2			100.686		231,577.15	229,402.00	(2,175.15) LT	505.99	
Int. Semi-Annually May/Nov 17; Yield to Maturity 1.992%; Moody A1 S&P A+; Issued 02/19/16; Asset Class: FI & Pref									
CITIGROUP INC	6/3/16	250,000.00	99.948	99.710	249,870.00			5,125.00	2.05
Coupon Rate 2.050%; Matures 06/07/2019; CUSIP 172967KS9			99.948		249,870.00	249,275.00	(595.00) LT	341.66	
Int. Semi-Annually Jun/Dec 07; Yield to Maturity 2.256%; Moody BAA1 S&P BBB+; Issued 06/09/16; Asset Class: FI & Pref									

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CATERPILLAR FINANCIAL SE Coupon Rate 2.100%; Matures 06/09/2019; CUSIP 14912L6B2 Int. Semi-Annually Jun/Dec 09; Yield to Maturity 2.066%; Moody A3	12/12/16	165,000.000	100.428 100.250	100.048	165,706.20 165,413.18	165,079.20	(333.98) LT	3,465.00 211.74	2.09
GENERAL ELECTRIC CAPITAL CORP Coupon Rate 6.000%; Matures 08/07/2019; CUSIP 36962G4D3 Int. Semi-Annually Feb/Aug 07; Yield to Maturity 2.188%; Moody A2	10/28/16	175,000.000	112.540 107.314	105.959	196,945.00 187,800.04	185,428.25	(2,371.79) LT	10,500.00 4,200.00	5.66
HONEYWELL INTERNATIONAL Coupon Rate 1.400%; Matures 10/30/2019; CUSIP 438516BJ4 Int. Semi-Annually Apr/Oct 30; Yield to Maturity 2.005%; Moody A2	10/25/16	200,000.000	99.921 99.921	98.917	199,842.00 199,842.00	197,834.00	(2,008.00) LT	2,800.00 466.66	1.41
APPLE INC Coupon Rate 1.800%; Matures 11/13/2019; CUSIP 037833DHO Int. Semi-Annually May/Nov 13; Yield to Maturity 1.997%; First Coupon 05/13/18; Moody AA1	11/7/17	100,000.000	99.951 99.951	99.640	99,951.00 99,951.00	99,640.00	(311.00) ST	1,800.00 239.99	1.80
UNITEDHEALTH GROUP INC Coupon Rate 2.300%; Matures 12/15/2019; CUSIP 91324PCG5 Int. Semi-Annually Jun/Dec 15; Yield to Maturity 2.164%; Moody A3; Issued 12/08/14; Asset Class: FI & Pref	1/23/17	200,000.000	101.027 100.702	100.259	202,054.00 201,403.81	200,518.00	(885.81) ST	4,600.00 204.44	2.29
GENERAL ELECTRIC CAPITAL CORP Coupon Rate 2.200%; Matures 01/09/2020; CUSIP 36962G7M0 Int. Semi-Annually Jan/Jul 09; Callable \$100.00 on 12/09/19; Yield to Maturity 2.252%; Moody A2	11/30/17	150,000.000	99.902 99.902	99.898	149,853.00 149,853.00	149,847.00	(6.00) ST	3,300.00 1,576.66	2.20
MICROSOFT CORP Coupon Rate 1.850%; Matures 02/06/2020; CUSIP 594918BV5 Int. Semi-Annually Feb/Aug 06; Yield to Maturity 1.995%; Moody AAA	1/30/17	175,000.000	99.933 99.933	99.704	174,882.75 174,882.75	174,482.00	(400.75) ST	3,238.00 1,303.99	1.85
MEDTRONIC, INC. Coupon Rate 2.500%; Matures 03/15/2020; CUSIP 585055BG0 Int. Semi-Annually Mar/Sep 15; Yield to Maturity 2.223%; Moody A3	1/20/17	125,000.000	101.146 100.813	100.592	126,432.50 126,015.96	125,740.00	(275.96) ST	3,125.00 920.13	2.48
INTEL CORP Coupon Rate 1.850%; Matures 05/11/2020; CUSIP 458140AZ3 Int. Semi-Annually May/Nov 11; Yield to Maturity 2.050%; Moody A1	5/9/17	175,000.000	99.968 99.968	99.541	174,944.00 174,944.00	174,196.75	(747.25) ST	3,238.00 449.65	1.85
QUALCOMM INC Coupon Rate 2.100%; Matures 05/20/2020; CUSIP 747525AP8 Int. Semi-Annually May/Nov 20; Yield to Maturity 2.282%; Moody A1	7/10/17	150,000.000	100.630 100.529	99.579	150,945.00 150,793.57	149,368.50	(1,425.07) ST	3,150.00 358.75	2.10
PRUDENTIAL FINL INC Coupon Rate 5.375%; Matures 06/21/2020; CUSIP 74432QBM6 Int. Semi-Annually Jun/Dec 21; Yield to Maturity 2.407%; Moody BAA1	10/4/17	250,000.000	109.000 108.232	107.081	272,500.00 270,579.73	267,702.50	(2,877.23) ST	13,438.00 373.26	5.01
JPMORGAN CHASE & CO Coupon Rate 2.750%; Matures 06/23/2020; CUSIP 46625HLW8 Int. Semi-Annually Jun/Dec 23; Callable \$100.00 on 05/23/20; Yield to Call 2.372%; Moody A3	10/18/17	150,000.000	101.694 101.572	100.873	152,541.00 152,357.85	151,309.50	(1,048.35) ST	4,125.00 91.66	2.72



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AT&T INC Coupon Rate 2.450%; Matures 06/30/2020; CUSIP 00206RCL4 Int. Semi-Annually Jun/Dec 30; Callable \$100.00 on 05/30/20; Yield to Maturity 2.495%; Moody BAA1 (-) S&P BBB+ (-); Issued 05/04/15; Asset Class: FI & Pref	11/9/17	150,000.000	100.374 100.356	99.891	150,561.00 150,533.26	149,836.50	(696.76) ST	3,675.00 —	2.45
FIFTH THIRD BANCORP Coupon Rate 2.875%; Matures 07/27/2020; CUSIP 316773CT5 Int. Semi-Annually Jan/Jul 27; Callable \$100.00 on 06/27/20; Yield to Call 2.435%; Moody BAA1 S&P BBB+; Issued 07/27/15; Asset Class: FI & Pref	9/21/17	350,000.000	102.266 102.058	101.057	357,931.00 357,203.81	353,699.50	(3,504.31) ST	10,063.00 4,304.51	2.84
MARRIOTT INTERNATIONAL Coupon Rate 3.375%; Matures 10/15/2020; CUSIP 571903AL7 Int. Semi-Annually Apr/Oct 15; Callable \$100.00 on 07/15/20; Yield to Call 2.525%; Moody BAA2 S&P BBB; Issued 09/27/13; Asset Class: FI & Pref	11/3/17	200,000.000	102.962 102.815	102.078	205,924.00 205,630.14	204,156.00	(1,474.14) ST	6,750.00 1,424.99	3.30
AMERICAN EXPRESS CO Coupon Rate 2.200%; Matures 10/30/2020; CUSIP 025816BP3 Int. Semi-Annually Apr/Oct 30; Callable \$100.00 on 09/30/20; Yield to Maturity 2.501%; First Coupon 04/30/18; Moody A3 S&P BBB+; Issued 10/30/17; Asset Class: FI & Pref	10/24/17	100,000.000	99.870 99.870	99.180	99,870.00 99,870.00	99,180.00	(690.00) ST	2,200.00 366.66	2.21
	Percentage of Holdings	Face Value			Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		9,799,000.000			\$9,986,660.64 \$9,883,062.51	\$9,858,945.03	\$(9,774.85) LT \$(14,342.63) ST	\$249,888.00 \$84,455.85	2.53%
<b>TOTAL CORPORATE FIXED INCOME</b> (includes accrued interest)	95.68%					<b>\$9,943,400.88</b>			

Watchlist and CreditWatch Indicators: (\*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	<u>Orig Unit Cost</u> Adj Unit Cost	Unit Price	<u>Orig Total Cost</u> Adj Total Cost	Market Value	Unrealized Gain/(Loss)	<u>Est Ann Income</u> Accrued Interest	Current Yield %
AIR LEASE CORP	1/21/16	100,000.000	\$101.016	\$100.969	\$101,016.00				
Coupon Rate 3.375%; Matures 01/15/2019; CUSIP 00912XAL8			\$100.366		\$100,365.65	\$100,969.00	\$603.35 LT		
	3/16/16	100,000.000	100.199	100.969	100,199.00				
			100.076		100,075.50	100,969.00	893.50 LT		
	Total	200,000.000			201,215.00			6,750.00	3.34
					200,441.15	201,938.00	1,496.85 LT	3,112.49	

Int. Semi-Annually Jan/Jul 15; Callable \$100.00 on 12/16/18; Yield to Call 2.346%; S&P BBB; Issued 11/19/13; Asset Class: FI & Pref

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VERIZON COMMUNICATIONS	12/15/15	50,000.000	100.645	100.845	50,322.50				
Coupon Rate 2.625%; Matures 02/21/2020; CUSIP 92343VCH5			100.339		50,169.26	50,422.50	253.24 LT		
	3/16/16	150,000.000	102.158	100.845	153,237.45				
			101.200		151,799.38	151,267.50	(531.88) LT		
Total		200,000.000			203,559.95			5,250.00	2.60
					201,968.64	201,690.00	(278.64) LT	1,895.83	
Int. Semi-Annually Feb/Aug 21; Yield to Maturity 2.218%; Moody BAA1 S&P BBB+; Issued 02/21/15; Asset Class: FI & Pref									
CVS HEALTH CORP	1/5/16	150,000.000	100.836	100.417	151,254.00				
Coupon Rate 2.800%; Matures 07/20/2020; CUSIP 126650CJ7			100.483		150,724.07	150,625.50	(98.57) LT		
	3/16/16	100,000.000	103.373	100.417	103,373.00				
			102.023		102,022.68	100,417.00	(1,605.68) LT		
Total		250,000.000			254,627.00			7,000.00	2.78
					252,746.75	251,042.50	(1,704.25) LT	3,130.55	
Int. Semi-Annually Jan/Jul 20; Callable \$100.00 on 06/20/20; Yield to Call 2.624%; Moody BAA1 (-) S&P BBB+ (-); Issued 07/20/15; Asset Class: FI & Pref									
RYDER SYSTEM INC	12/8/15	250,000.000	99.450	100.690	248,625.00				
Coupon Rate 2.875%; Matures 09/01/2020; CUSIP 78355HKA6			99.450		248,625.00	251,725.00	3,100.00 LT	7,188.00	2.85
Int. Semi-Annually Mar/Sep 01; Callable \$100.00 on 08/01/20; Yield to Call 2.597%; Moody BAA1 S&P BBB+; Issued 08/24/15; Asset Class: FI & Pref								2,395.83	
AMERICAN EXPRESS CREDIT	12/15/15	150,000.000	100.025	100.506	150,037.50				
Coupon Rate 2.600%; Matures 09/14/2020; CUSIP 0258MODX4			100.015		150,021.94	150,759.00	737.06 LT		
	3/16/16	150,000.000	101.460	100.506	152,190.00				
			100.898		151,346.95	150,759.00	(587.95) LT		
Total		300,000.000			302,227.50			7,800.00	2.58
					301,368.89	301,518.00	149.11 LT	2,318.33	
Int. Semi-Annually Mar/Sep 14; Callable \$100.00 on 08/14/20; Yield to Call 2.399%; Moody A2 S&P A-; Issued 09/14/15; Asset Class: FI & Pref									
EBAY INC	1/13/16	200,000.000	102.464	101.887	204,928.00				
Coupon Rate 3.250%; Matures 10/15/2020; CUSIP 278642AC7			101.487		202,974.18	203,774.00	799.82 LT	6,500.00	3.18
Int. Semi-Annually Apr/Oct 15; Callable \$100.00 on 07/15/20; Yield to Call 2.478%; Moody BAA1 S&P BBB+; Issued 10/28/10; Asset Class: FI & Pref								1,372.22	
DOW CHEMICAL CO/THE	1/7/16	200,000.000	105.514	104.437	211,028.00				
Coupon Rate 4.250%; Matures 11/15/2020; CUSIP 260543CC5			103.366		206,731.49	208,874.00	2,142.51 LT	8,500.00	4.06
Int. Semi-Annually May/Nov 15; Callable \$100.00 on 08/15/20; Yield to Call 2.491%; Moody BAA2 S&P BBB; Issued 11/09/10; Asset Class: FI & Pref								1,086.11	
ROPER TECHNOLOGIES INC	12/4/15	150,000.000	100.174	101.190	150,261.00				
Coupon Rate 3.000%; Matures 12/15/2020; CUSIP 776743AA4			100.106		150,158.39	151,785.00	1,626.61 LT		
	3/16/16	150,000.000	100.926	101.190	151,389.00				
			100.592		150,888.31	151,785.00	896.69 LT		
Total		300,000.000			301,650.00			9,000.00	2.96
					301,046.70	303,570.00	2,523.30 LT	399.99	
Int. Semi-Annually Jun/Dec 15; Callable \$100.00 on 11/15/20; Yield to Call 2.567%; Moody BAA3 S&P BBB; Issued 12/07/15; Asset Class: FI & Pref									

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SANTANDER UK GROUP HLDGS Coupon Rate 3.125%; Matures 01/08/2021; CUSIP 80281LAD7 Int. Semi-Annually Jan/Jul 08; Yield to Maturity 2.798%; Moody BAA1 S&P BBB; Issued 01/08/16; Asset Class: FI & Pref	1/5/16	200,000.000	99.899 99.899	100.941	199,798.00 199,798.00	201,882.00	2,084.00 LT	6,250.00 3,003.47	3.09
ANHEUSER-BUSCH INBEV FIN Coupon Rate 2.650%; Matures 02/01/2021; CUSIP 035242AJ5 Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 01/01/21; Yield to Call 2.475%; Moody A3 S&P A-; Issued 01/25/16; Asset Class: FI & Pref	1/13/16	200,000.000	99.687 99.687	100.502	199,374.00 199,374.00	201,004.00	1,630.00 LT	5,300.00 2,208.33	2.63
FORD MOTOR CREDIT CO LLC Coupon Rate 5.750%; Matures 02/01/2021; CUSIP 345397VR1 Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.827%; Moody BAA2 S&P BBB; Issued 02/07/11; Asset Class: FI & Pref	12/3/15	200,000.000	111.513 107.126	108.569	223,026.00 214,251.60	217,138.00	2,886.40 LT	11,500.00 4,791.66	5.29
REGIONS FINANCIAL CORP Coupon Rate 3.200%; Matures 02/08/2021; CUSIP 7591EPAK6 Int. Semi-Annually Feb/Aug 08; Callable \$100.00 on 01/08/21; Yield to Call 2.595%; Moody BAA2 S&P BBB+; Issued 02/08/16; Asset Class: FI & Pref	2/3/16	170,000.000	99.895 99.895	101.746	169,821.50 169,821.50	172,968.20	3,146.70 LT	5,440.00 2,160.88	3.14
AMERICAN INTL GROUP Coupon Rate 3.300%; Matures 03/01/2021; CUSIP 026874DG9 Int. Semi-Annually Mar/Sep 01; Callable \$100.00 on 02/01/21; Yield to Call 2.639%; Moody BAA1 S&P BBB+; Issued 02/26/16; Asset Class: FI & Pref	3/17/16	300,000.000	101.555 101.022	101.943	304,665.00 303,065.79	305,829.00	2,763.21 LT	9,900.00 3,299.99	3.23
WELLS FARGO & COMPANY Coupon Rate 2.500%; Matures 03/04/2021; CUSIP 949746RS2 Int. Semi-Annually Mar/Sep 04; Yield to Maturity 2.515%; Moody A2 S&P A; Issued 03/04/16; Asset Class: FI & Pref	2/26/16	300,000.000	99.977 99.977	99.954	299,931.00 299,931.00	299,862.00	(69.00) LT	7,500.00 2,437.50	2.50
BEST BUY CO INC Coupon Rate 5.500%; Matures 03/15/2021; CUSIP 086516AL5 Int. Semi-Annually Mar/Sep 15; Callable \$100.00 on 12/15/20; Yield to Call 2.760%; Moody BAA1 S&P BBB-; Issued 03/11/11; Asset Class: FI & Pref	12/3/15	200,000.000	104.375 102.782	107.724	208,750.00 205,564.47	215,448.00	9,883.53 LT	11,000.00 3,238.88	5.10
MASCO CORP SR GLBL Coupon Rate 3.500%; Matures 04/01/2021; CUSIP 574599BK1 Int. Semi-Annually Apr/Oct 01; Callable \$100.00 on 03/01/21; Yield to Call 2.977%; Moody BA1 S&P BBB; Issued 03/17/16; Asset Class: FI & Pref	3/17/16	300,000.000	100.773 100.514	101.565	302,319.00 301,543.05	304,695.00	3,151.95 LT	10,500.00 2,625.00	3.44
AUTOZONE INC Coupon Rate 2.500%; Matures 04/15/2021; CUSIP 053332AS1 Int. Semi-Annually Apr/Oct 15; Callable \$100.00 on 03/15/21; Yield to Maturity 2.722%; Moody BAA1 S&P BBB; Issued 04/29/15; Asset Class: FI & Pref	12/4/15	150,000.000	98.156 98.156	99.303	147,234.00 147,234.00	148,954.50	1,720.50 LT	3,750.00 791.66	2.51
BANK OF AMERICA CORP Coupon Rate 5.000%; Matures 05/13/2021; CUSIP 06051GEH8 Int. Semi-Annually May/Nov 13; Yield to Maturity 2.570%; Moody A3 S&P A-; Issued 05/13/11; Asset Class: FI & Pref	12/3/15	200,000.000	110.315 106.584	107.786	220,630.00 213,167.42	215,572.00	2,404.58 LT	10,000.00 1,333.33	4.63
VULCAN MATERIALS Coupon Rate 7.500%; Matures 06/15/2021; CUSIP 929160ARO Int. Semi-Annually Jun/Dec 15; Yield to Maturity 2.703%; Moody BAA3 S&P BBB; Issued 06/14/11; Asset Class: FI & Pref	10/11/16	200,000.000	121.900 116.446	115.722	243,800.00 232,891.17	231,444.00	(1,447.17) LT	15,000.00 666.66	6.48
TORONTO-DOMINION BANK Coupon Rate 1.800%; Matures 07/13/2021; CUSIP 89114QBL1 Int. Semi-Annually Jan/Jul 13; Yield to Maturity 2.416%; Moody AA2 S&P AA-; Issued 07/13/16; Asset Class: FI & Pref	7/7/16	300,000.000	99.895 99.895	97.927	299,685.00 299,685.00	293,781.00	(5,904.00) LT	5,400.00 2,520.00	1.83



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SHERWIN-WILLIAMS CO Coupon Rate 2.750%; Matures 06/01/2022; CUSIP 824348AU0 Int. Semi-Annually Jun/Dec 01; Callable \$100.00 on 05/01/22; Yield to Maturity 2.844%; Moody BAA3 S&P BBB; Issued 05/16/17; Asset Class: FI & Pref	6/27/17	300,000.000	100.472 100.426	99.612	301,416.00 301,279.31	298,836.00	(2,443.31) ST	8,250.00 687.49	2.76
WESTERN GAS PARTNERS Coupon Rate 4.000%; Matures 07/01/2022; CUSIP 958254AB0 Int. Semi-Annually Jan/Jul 01; Callable \$100.00 on 04/01/22; Yield to Call 3.484%; Moody BA1 S&P BBB-; Issued 06/28/12; Asset Class: FI & Pref	8/16/17	300,000.000	103.809 103.545	102.018	311,427.00 310,634.93	306,054.00	(4,580.93) ST	12,000.00 6,000.00	3.92
METLIFE INC Coupon Rate 3.048%; Matures 12/15/2022; CUSIP 59156RBF4	1/11/17 1/11/17	89,000.000 136,000.000	101.159 100.984 101.159 100.984	101.159	90,031.51 89,876.16 137,576.24 137,338.85	90,031.51 137,576.24	155.35 ST 237.39 ST		
Total		225,000.000			227,607.75 227,215.01	227,607.75	392.74 ST	6,858.00 304.79	3.01
Interest Paid Quarterly Dec 15; Yield to Maturity 2.796%; Moody A3 S&P A-; Issued 09/15/12; Asset Class: FI & Pref									
ECOLAB INC Coupon Rate 3.250%; Matures 01/14/2023; CUSIP 278865AU4 Int. Semi-Annually Jan/Jul 14; Callable \$100.00 on 11/14/22; Yield to Call 2.753%; Moody BAA1 S&P A-; Issued 01/14/16; Asset Class: FI & Pref	1/11/16	200,000.000	99.950 99.950	102.246	199,900.00 199,900.00	204,492.00	4,592.00 LT	6,500.00 3,015.27	3.17
COMCAST CORP Coupon Rate 3.000%; Matures 02/01/2024; CUSIP 20030NBX8 Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 01/01/24; Yield to Call 2.879%; Moody A3 S&P A-; Issued 01/10/17; Asset Class: FI & Pref	1/6/17	100,000.000	99.693 99.693	100.662	99,693.00 99,693.00	100,662.00	969.00 ST	3,000.00 1,250.00	2.98
	Percentage of Holdings	Face Value			Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		7,830,000.000			\$7,988,632.50 \$7,934,130.36	\$7,991,120.05	\$58,700.36 LT \$(1,710.67) ST	\$265,949.00 \$80,941.65	3.33%
<b>TOTAL CORPORATE FIXED INCOME</b> (includes accrued interest)	99.13%					<b>\$8,072,061.70</b>			

Watchlist and CreditWatch Indicators: (\*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
BERKSHIRE HATHAWAY FIN	1/13/15	150,000.000	\$100.000	\$100.003	\$150,000.00			—	—
Coupon Rate 1.656%; Matures 01/12/2018; CUSIP 084664CD1			\$100.000		\$150,000.00	\$150,004.50	\$4.50 LT	\$538.39	
Interest Paid Quarterly Apr 13; Yield to Maturity 1.546%; Floater; Moody AA2 S&P AA; Issued 01/15/15; Asset Class: FI & Pref									

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MERCK & CO INC Coupon Rate 1.100%; Matures 01/31/2018; CUSIP 58933YAC9	2/6/17	300,000.000	99.962	99.950	299,885.40				
	4/26/17	100,000.000	99.870	99.950	299,885.40	299,850.00	(35.40) ST		
			99.870		99,870.00	99,950.00	80.00 ST		
Total		400,000.000			399,755.40	399,800.00	44.60 ST	2,200.00	0.55
					399,755.40			1,833.33	
Int. Semi-Annually Jan/Jul 31; Yield to Maturity 1.693%; Moody A1 S&P AA; Issued 09/13/12; Asset Class: FI & Pref									
AT&T INC Coupon Rate 5.500%; Matures 02/01/2018; CUSIP 00206RAJ1	6/5/17	200,000.000	102.517	100.286	205,034.00			5,500.00	2.74
			100.324		200,648.50	200,572.00	(76.50) ST	4,583.33	
Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.016%; Moody BAA1 (-) S&P BBB+ (-); Issued 02/01/08; Asset Class: FI & Pref									
COMCAST CORP Coupon Rate 5.875%; Matures 02/15/2018; CUSIP 20030NAR2	8/23/17	200,000.000	102.045	100.516	204,090.00			5,875.00	2.92
			100.539		201,077.60	201,032.00	(45.60) ST	4,438.88	
Int. Semi-Annually Feb/Aug 15; Yield to Maturity 1.609%; Moody A3 S&P A-; Issued 11/17/06; Asset Class: FI & Pref									
VENTAS REALTY LP/CAP CRP Coupon Rate 2.000%; Matures 02/15/2018; CUSIP 92276MBA2	6/30/17	175,000.000	100.149	99.994	175,260.75			1,750.00	1.00
			100.030		175,052.41	174,989.50	(62.91) ST	1,322.22	
Int. Semi-Annually Feb/Aug 15; Callable \$100.00 on 01/28/18; Yield to Maturity 2.034%; Moody BAA1 S&P BBB+; Issued 12/13/12; Asset Class: FI & Pref									
JPMORGAN CHASE & CO Coupon Rate 1.700%; Matures 03/01/2018; CUSIP 46623EKD0	2/7/17	250,000.000	100.163	99.990	250,407.50			2,125.00	0.85
			100.026		250,064.44	249,975.00	(89.44) ST	1,416.66	
Int. Semi-Annually Mar/Sep 01; Callable \$100.00 on 02/01/18; Yield to Maturity 1.750%; Moody A3 S&P A-; Issued 03/02/15; Asset Class: FI & Pref									
JOHN DEERE CAPITAL CORP Coupon Rate 1.300%; Matures 03/12/2018; CUSIP 24422ESB6	6/26/17	236,000.000	99.987	99.919	235,969.32			1,534.00	0.65
			99.987		235,969.32	235,808.84	(160.48) ST	928.92	
Int. Semi-Annually Mar/Sep 12; Yield to Maturity 1.705%; Moody A2 S&P A; Issued 03/11/13; Asset Class: FI & Pref									
ACE INA HOLDINGS Coupon Rate 5.800%; Matures 03/15/2018; CUSIP 00440EAK3	3/24/17	300,000.000	104.101	100.770	312,303.00			8,700.00	2.87
			100.880		302,639.90	302,310.00	(329.90) ST	5,123.33	
Int. Semi-Annually Mar/Sep 15; Yield to Maturity 2.004%; Moody A3 S&P A; Issued 02/14/08; Asset Class: FI & Pref									
GENERAL ELECTRIC CAPITAL CORP Coupon Rate 1.625%; Matures 04/02/2018; CUSIP 36962G6W9	4/11/17	250,000.000	100.341	99.952	250,853.25			2,031.00	0.81
			100.090		250,225.68	249,880.00	(345.68) ST	1,004.34	
Int. Semi-Annually Apr/Oct 02; Yield to Maturity 1.809%; Moody A2 S&P A; Issued 04/02/13; Asset Class: FI & Pref									
BOEING CO Coupon Rate 0.950%; Matures 05/15/2018; CUSIP 097023BE4	2/13/17	300,000.000	99.583	99.727	298,749.00			1,425.00	0.47
			99.583		298,749.00	299,181.00	432.00 ST	364.16	
Int. Semi-Annually May/Nov 15; Yield to Maturity 1.686%; Moody A2 S&P A; Issued 05/03/13; Asset Class: FI & Pref									
GLAXOSMITHKLINE CAP INC Coupon Rate 5.650%; Matures 05/15/2018; CUSIP 377372AD9	5/17/16	175,000.000	108.720	101.376	190,260.00			4,944.00	2.78
			101.649		177,885.21	177,408.00	(477.21) LT	1,263.40	
Int. Semi-Annually May/Nov 15; Yield to Maturity 1.913%; Moody A2 S&P A+; Issued 05/13/08; Asset Class: FI & Pref									
QUALCOMM INC Coupon Rate 1.400%; Matures 05/18/2018; CUSIP 747525AG8	6/5/17	200,000.000	100.066	99.840	200,131.40			—	—
			100.027		200,053.10	199,680.00	(373.10) ST	318.88	
Int. Semi-Annually May/Nov 20; Yield to Maturity 1.820%; Moody A1 S&P A; Issued 05/20/15; Asset Class: FI & Pref									



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PEPSICO INC Coupon Rate 5.000%; Matures 06/01/2018; CUSIP 713448BH0 Int. Semi-Annually Jun/Dec 01; Yield to Maturity 1.844%; Moody A1 S&P A+; Issued 05/28/08; Asset Class: FI & Pref	5/10/16	200,000.000	108.092 101.657	101.302	216,184.00 203,314.78	202,604.00	(710.78) LT	5,000.00 833.33	2.46
PFIZER INC Coupon Rate 1.200%; Matures 06/01/2018; CUSIP 717081DWO Int. Semi-Annually Jun/Dec 01; Yield to Maturity 1.728%; Moody A1 S&P AA; Issued 06/03/16; Asset Class: FI & Pref	5/31/16	200,000.000	99.927 99.927	99.781	199,854.00 199,854.00	199,562.00	(292.00) LT	1,200.00 199.99	0.60
CARDINAL HEALTH INC Coupon Rate 1.950%; Matures 06/15/2018; CUSIP 14149YBC1 Int. Semi-Annually Jun/Dec 15; Yield to Maturity 2.072%; Moody BAA2 S&P BBB+; Issued 06/23/15; Asset Class: FI & Pref	5/18/16	250,000.000	100.814 100.182	99.944	252,035.00 250,455.17	249,860.00	(595.17) LT	2,438.00 216.66	0.97
UNITEDHEALTH GROUP INC Coupon Rate 1.900%; Matures 07/16/2018; CUSIP 91324PCL4 Int. Semi-Annually Jan/Jul 16; Yield to Maturity 1.825%; Moody A3 S&P A+; Issued 07/23/15; Asset Class: FI & Pref	6/30/17	150,000.000	100.372 100.197	100.040	150,558.00 150,295.07	150,060.00	(235.07) ST	2,850.00 1,306.24	1.89
TORONTO DOMINION BANK Coupon Rate 1.750%; Matures 07/23/2018; CUSIP 89114QB64 Int. Semi-Annually Jan/Jul 23; Yield to Maturity 1.895%; Moody AA2 S&P AA-; Issued 07/23/15; Asset Class: FI & Pref	10/30/17	200,000.000	100.123 100.095	99.919	200,246.00 200,189.80	199,838.00	(351.80) ST	3,500.00 1,536.11	1.75
BEST BUY CO INC Coupon Rate 5.000%; Matures 08/01/2018; CUSIP 086516AM3 Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.142%; Moody BAA1 S&P BBB-; Issued 07/16/13; Asset Class: FI & Pref	11/30/15	251,000.000	104.375 100.990	101.646	261,981.25 253,485.77	255,131.46	1,645.69 LT	12,550.00 5,229.16	4.91
NORTHERN TRUST COMPANY Coupon Rate 6.500%; Matures 08/15/2018; CUSIP 66586GCD7 Int. Semi-Annually Feb/Aug 15; Yield to Maturity 2.032%; Moody A2 S&P A+; Issued 08/13/08; Asset Class: FI & Pref	2/13/17	300,000.000	107.179 103.003	102.746	321,537.90 309,008.86	308,238.00	(770.86) ST	19,500.00 7,366.66	6.32
GILEAD SCIENCES INC Coupon Rate 1.850%; Matures 09/04/2018; CUSIP 375558BE2 Int. Semi-Annually Mar/Sep 04; Yield to Maturity 1.843%; Moody A3 S&P A; Issued 09/14/15; Asset Class: FI & Pref	6/16/17	325,000.000	100.266 100.150	100.004	325,864.50 325,486.70	325,013.00	(473.70) ST	6,013.00 1,954.06	1.85
INTERNATIONAL FINANCE CORPORATION Coupon Rate 1.750%; Matures 09/04/2018; CUSIP 45950KCA6 Int. Semi-Annually Mar/Sep 04; Yield to Maturity 1.794%; Moody AAA S&P AAA; Issued 09/04/13; Asset Class: FI & Pref	12/28/17	125,000.000	99.984 99.984	99.970	124,980.00 124,980.00	124,962.50	(17.50) ST	2,188.00 710.93	1.75
HOME DEPOT INC Coupon Rate 2.250%; Matures 09/10/2018; CUSIP 437076BB7 Int. Semi-Annually Mar/Sep 10; Callable \$100.00 on 08/10/18; Yield to Call 1.774%; Moody A2 S&P A; Issued 09/10/13; Asset Class: FI & Pref	5/1/17	150,000.000	101.027 100.528	100.286	151,540.50 150,792.38	150,429.00	(363.38) ST	3,375.00 1,040.62	2.24
AFRICAN DEVELOPMENT BANK Coupon Rate 1.000%; Matures 11/02/2018; CUSIP 00828EBT5 Int. Semi-Annually May/Nov 02; Yield to Maturity 1.896%; Moody AAA S&P AAA; Issued 10/26/16; Asset Class: FI & Pref	12/18/17	258,000.000	99.279 99.279	99.260	256,139.82 256,139.82	256,090.80	(49.02) ST	2,580.00 422.83	1.00
NOVARTIS SECS INVEST LTD Coupon Rate 5.125%; Matures 02/10/2019; CUSIP 66989GAA8 Int. Semi-Annually Feb/Aug 10; Yield to Maturity 2.095%; Moody AA3 S&P AA-; Issued 02/10/09; Asset Class: FI & Pref	11/20/17	164,000.000	103.806 103.470	103.300	170,241.84 169,690.19	169,412.00	(278.19) ST	8,405.00 3,291.95	4.96

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Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
BRISTOL-MYERS SQUIBB CO Coupon Rate 1.600%; Matures 02/27/2019; CUSIP 110122BA5 Int. Semi-Annually Feb/Aug 27; Yield to Maturity 2.020%; Moody A2 S&P A+; Issued 02/27/17; Asset Class: FI & Pref	2/23/17	250,000.000	99.920 99.920	99.522	249,800.00 249,800.00	248,805.00	(995.00) ST	4,000.00 1,377.77	1.60
ROYAL BANK OF CANADA Coupon Rate 2.118%; Matures 03/15/2019; CUSIP 78010USP3 Interest Paid Quarterly Jun 16; Yield to Maturity 1.820%; Floater; Moody A1 S&P AA-; Issued 03/11/14; Asset Class: FI & Pref	12/20/17	200,000.000	100.442 100.432	100.353	200,884.00 200,864.22	200,706.00	(158.22) ST	4,237.00 176.53	2.11
MEDTRONIC GLOBAL HLDINGS Coupon Rate 1.700%; Matures 03/28/2019; CUSIP 58507LAB5 Int. Semi-Annually Mar/Sep 28; Yield to Maturity 2.039%; Moody A3 S&P A; Issued 03/28/17; Asset Class: FI & Pref	3/22/17	250,000.000	99.926 99.926	99.585	249,815.00 249,815.00	248,962.50	(852.50) ST	4,250.00 1,097.91	1.70
WELLS FARGO & COMPANY Coupon Rate 2.125%; Matures 04/22/2019; CUSIP 94974BFU9 Int. Semi-Annually Apr/Oct 22; Yield to Maturity 2.136%; Moody A2 S&P A; Issued 04/22/14; Asset Class: FI & Pref	5/6/16	200,000.000	102.134 100.958	99.984	204,268.00 201,915.38	199,968.00	(1,947.38) LT	4,250.00 814.58	2.12
AMERICAN EXPRESS CREDIT Coupon Rate 1.875%; Matures 05/03/2019; CUSIP 0258M0EK1 Int. Semi-Annually May/Nov 03; Callable \$100.00 on 04/03/19; Yield to Maturity 2.180%; Moody A2 S&P A-; Issued 05/03/17; Asset Class: FI & Pref	4/28/17	100,000.000	99.959 99.959	99.598	99,959.00 99,959.00	99,598.00	(361.00) ST	1,875.00 302.08	1.88
CITIGROUP INC Coupon Rate 2.050%; Matures 06/07/2019; CUSIP 172967KS9 Int. Semi-Annually Jun/Dec 07; Yield to Maturity 2.256%; Moody BAA1 S&P BBB+; Issued 06/09/16; Asset Class: FI & Pref	6/3/16	300,000.000	99.948 99.948	99.710	299,844.00 299,844.00	299,130.00	(714.00) LT	6,150.00 410.00	2.05
	Percentage of Holdings	Face Value			Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		6,709,000.000			\$6,808,546.43 \$6,738,010.70	\$6,729,011.10	\$(3,086.35) LT \$(5,913.25) ST	\$130,445.00 \$51,423.25	1.94%
<b>TOTAL CORPORATE FIXED INCOME</b> (includes accrued interest)	88.25%					<b>\$6,780,434.35</b>			

Watchlist and CreditWatch Indicators: (\*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade