

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2016 or tax year beginning

, and ending

Name of foundation DRAPER RICHARDS KAPLAN FOUNDATION		A Employer identification number 91-2172351
Number and street (or P.O. box number if mail is not delivered to street address) 1600 EL CAMINO REAL	Room/suite 155	B Telephone number 650-319-7808
City or town, state or province, country, and ZIP or foreign postal code MENLO PARK, CA 94025		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 48,628,514.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	3,755,903.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	709,019.	710,634.	710,634.	STATEMENT 2
	4 Dividends and interest from securities		4,475.	4,475.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-13,288.			STATEMENT 1
	b Gross sales price for all assets on line 6a	66,228.			
	7 Capital gain net income (from Part IV, line 2)		2,261,993.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		644.	644.		
12 Total. Add lines 1 through 11	4,451,634.	2,977,746.	715,753.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	636,767.	0.	636,767.	0.
	14 Other employee salaries and wages	2,161,348.	0.	2,161,348.	0.
	15 Pension plans, employee benefits	931,581.	0.	931,581.	0.
	16a Legal fees STMT 3	9,418.	0.	9,418.	0.
	b Accounting fees STMT 4	37,900.	0.	37,900.	0.
	c Other professional fees STMT 5	52,887.	0.	52,887.	0.
	17 Interest				
	18 Taxes STMT 6	53,000.	0.	0.	53,000.
	19 Depreciation and depletion	19,708.	0.	0.	
	20 Occupancy	358,687.	0.	358,687.	0.
	21 Travel, conferences, and meetings	327,680.	0.	327,680.	0.
	22 Printing and publications	5,216.	0.	5,216.	0.
	23 Other expenses STMT 7	1,043,040.	73,009.	-3,805,731.	4,792,823.
	24 Total operating and administrative expenses. Add lines 13 through 23	5,637,232.	73,009.	715,753.	4,845,823.
	25 Contributions, gifts, grants paid	4,250,000.			4,250,000.
26 Total expenses and disbursements. Add lines 24 and 25	9,887,232.	73,009.	715,753.	9,095,823.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-5,435,598.				
b Net investment income (if negative, enter -0-)		2,904,737.			
c Adjusted net income (if negative, enter -0-)			0.		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	162,874.	1,527,948.	1,527,948.	
	2 Savings and temporary cash investments	1,580,111.	762,344.	762,344.	
	3 Accounts receivable				
	Less: allowance for doubtful accounts				
	4 Pledges receivable	17,580,266.			
	Less: allowance for doubtful accounts				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable	100,000.			
	Less: allowance for doubtful accounts	0.	0.	100,000.	
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges	84,902.	63,869.	63,869.	
	10a Investments - U.S. and state government obligations	STMT 8	5,568,158.	1,299,086.	1,299,086.
	b Investments - corporate stock				
	c Investments - corporate bonds	STMT 9	19,332,577.	23,642,387.	23,642,387.
	11 Investments - land, buildings, and equipment: basis				
Less: accumulated depreciation					
12 Investments - mortgage loans					
13 Investments - other	STMT 10	3,276,349.	3,448,024.	3,448,024.	
14 Land, buildings, and equipment: basis	206,493.				
Less: accumulated depreciation	STMT 11	78,746.	66,763.	127,747.	
15 Other assets (describe)	STATEMENT 12)	44,591.	76,843.	76,843.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		54,052,515.	48,628,514.	48,628,514.	
Liabilities	17 Accounts payable and accrued expenses	354,417.	463,304.		
	18 Grants payable	250,000.	100,000.		
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe)	STATEMENT 13)	31,528.	50,708.	
23 Total liabilities (add lines 17 through 22)		635,945.	614,012.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here	<input checked="" type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted		29,480,380.	30,434,236.	
	25 Temporarily restricted		23,936,190.	17,580,266.	
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here	<input type="checkbox"/>			
	and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds					
28 Paid-in or capital surplus, or land, bldg., and equipment fund					
29 Retained earnings, accumulated income, endowment, or other funds					
30 Total net assets or fund balances		53,416,570.	48,014,502.		
31 Total liabilities and net assets/fund balances		54,052,515.	48,628,514.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	53,416,570.
2 Enter amount from Part I, line 27a	2	-5,435,598.
3 Other increases not included in line 2 (itemize) UNREALIZED GAINS ON INVESTMENTS	3	33,530.
4 Add lines 1, 2, and 3	4	48,014,502.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	48,014,502.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES			
b CAPITAL GAINS FROM PASSTHROUGH	P	01/01/16	12/31/16
c CAPITAL GAINS FROM PASSTHROUGH	P	01/01/16	12/31/16
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,702,373.		492,069.	2,210,304.
b		14,539.	-14,539.
c 66,228.			66,228.
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			2,210,304.
b			-14,539.
c			66,228.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	2,261,993.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	-14,539.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	7,327,640.	27,765,109.	.263915
2014	6,018,824.	23,173,856.	.259725
2013	4,700,178.	22,739,851.	.206693
2012	3,794,933.	19,999,013.	.189756
2011	2,619,683.	16,595,241.	.157857

2 Total of line 1, column (d)	2	1.077946
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.215589
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5	4	30,860,211.
5 Multiply line 4 by line 3	5	6,653,122.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	29,047.
7 Add lines 5 and 6	7	6,682,169.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	9,343,186.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	29,047.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	29,047.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	29,047.
6 Credits/Payments:			
a 2016 estimated tax payments and 2015 overpayment credited to 2016	6a	49,752.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	9,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	58,752.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	29,705.	
11 Enter the amount of line 10 to be: Credited to 2017 estimated tax <input checked="" type="checkbox"/> 29,705. Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year? N/A		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA, MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	X	
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
14 The books are in care of MARY LACHNIT Telephone no. 650-319-7808
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official?
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income?
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		515,000.	121,767.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHANIE DODSON - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	MANAGING DIRECTOR 50.00	194,475.	60,763.	0.
NATHALIE LAIDLER-KYLANDER - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	190,000.	34,845.	0.
CARTER MITCHELL STEWART - 1600 EL CAMINO REAL #155, MENLO PARK, CA	MANAGING DIRECTOR 50.00	178,601.	28,327.	0.
JARED THEODORE LEIDERMAN - 1600 EL CAMINO REAL #155, MENLO PARK, CA	DIRECTOR OF FINANCE AND OPERATIONS 50.00	160,904.	34,719.	0.
NANCY HUANG - 1600 EL CAMINO REAL #155, MENLO PARK, CA 94025	CHIEF OF STAFF 50.00	146,200.	40,093.	0.
Total number of other employees paid over \$50,000				12

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
SEE STATEMENT 15	9,095,823.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 AVANTI - TO PROVIDE LOW-INCOME HIGH-SCHOOL STUDENTS IN INDIA A WORLD-CLASS SCIENCE AND MATHEMATICS EDUCATION THROUGH A BLENDED-LEARNING MODEL CENTERED ON PEER LEARNING.	97,363.
2 FINCH THERAPEUTICS - TO EXPAND SAFE ACCESS TO FECAL MICROBIOTA TRANSPLANTATION FOR PATIENTS SUFFERING FROM RECURRENT CLOSTRIDIUM DIFFICILE INFECTIONS.	150,000.
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	247,363.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	25,985,332.
b	Average of monthly cash balances	1b	1,982,938.
c	Fair market value of all other assets	1c	3,361,893.
d	Total (add lines 1a, b, and c)	1d	31,330,163.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	31,330,163.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	469,952.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	30,860,211.
6	Minimum investment return. Enter 5% of line 5	6	1,543,011.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2016 from Part VI, line 5	2a	
b	Income tax for 2016. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	9,095,823.
b	Program-related investments - total from Part IX-B	1b	247,363.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	9,343,186.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	29,047.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	9,314,139.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2016:				
a Enter amount for 2015 only				
b Total for prior years:				
3 Excess distributions carryover, if any, to 2016:				
a From 2011				
b From 2012				
c From 2013				
d From 2014				
e From 2015				
f Total of lines 3a through e				
4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$				
a Applied to 2015, but not more than line 2a ...				
b Applied to undistributed income of prior years (Election required - see instructions) ...				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2016 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr. ...				
f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2011 not applied on line 5 or line 7				
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2012 ...				
b Excess from 2013 ...				
c Excess from 2014 ...				
d Excess from 2015 ...				
e Excess from 2016 ...				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶ 12/12/01

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0.	0.	0.	0.	0.
b 85% of line 2a	0.	0.	0.	0.	0.
c Qualifying distributions from Part XII, line 4 for each year listed	9,343,186.	7,365,026.	6,037,947.	4,719,386.	27,465,545.
d Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	9,343,186.	7,365,026.	6,037,947.	4,719,386.	27,465,545.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	1,028,674.	925,503.	772,462.	757,995.	3,484,634.
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 16

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 17

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ABSOLUTE RETURN FOR KIDS US 25 WEST 53RD ST, 15TH FLOOR NEW YORK, NY 10019		PC	PROJECT: STIR	50,000.
ACCOUNTABILITY COUNSEL 244 KEARNY STREET, FLOOR 6 SAN FRANCISCO, CA 94108		PC	GENERAL OPERATING SUPPORT	100,000.
ADVENTURERS & SCIENTISTS FOR CONSERVATION P.O. BOX 1834 BOZEMAN, MT 59771		PC	GENERAL OPERATING SUPPORT	100,000.
AZARIAH FOUNDATION 306 POPPLETON STREET BALTIMORE, MD 21230		PC	PROJECT: WAVE	50,000.
BRAVEN (FORMERLY BEYOND Z) 1046 WEST KINZIE STREET, SUITE 301 CHICAGO, IL 60642		PC	GENERAL OPERATING SUPPORT	100,000.
Total	SEE CONTINUATION SHEET(S)			4,250,000.
b Approved for future payment				
ACCOUNTABILITY COUNSEL 244 KEARNY STREET, FLOOR 6 SAN FRANCISCO, CA 94108		PC	GENERAL OPERATING SUPPORT	100,000.
ADVENTURERS & SCIENTISTS FOR CONSERVATION P.O. BOX 1834 BOZEMAN, MT 59771		PC	GENERAL OPERATING SUPPORT	100,000.
BRAVEN (FORMERLY BEYOND Z) 1046 WEST KINZIE STREET, SUITE 301 CHICAGO, IL 60642		PC	GENERAL OPERATING SUPPORT	150,000.
Total	SEE CONTINUATION SHEET(S)			5,950,000.

Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868 .**

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile, click on Charities & Non-Profits, and click on e-file for Charities and Non-Profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. DRAPER RICHARDS KAPLAN FOUNDATION	Employer identification number (EIN) or 91-2172351
	Number, street, and room or suite no. If a P.O. box, see instructions. 1600 EL CAMINO REAL, NO. 155	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MENLO PARK, CA 94025	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MARY LACHNIT

• The books are in the care of ▶ **1600 EL CAMINO REAL, SUITE 155 - MENLO PARK, CA 94025**
Telephone No. ▶ **650-319-7808** Fax No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until **NOVEMBER 15, 2017**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ calendar year **2016** or
- ▶ tax year beginning _____, and ending _____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	58,752.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	49,752.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	9,000.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARE MESSAGE 332 PINE STREET, SUITE 300 SAN FRANCISCO, CA 94104		PC	GENERAL OPERATING SUPPORT	100,000.
CAST (COMMUNITY ARTS STABILIZATION TRUST) 70 OTIS STREET SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	100,000.
CATIE'S CLOSET 19 SCHOOL STREET DRACUT, MA 01826		PC	GENERAL OPERATING SUPPORT	100,000.
CITY HEALTH WORKS 127 WEST 127TH STREET, SUITE 207 NEW YORK, NY 10027		PC	GENERAL OPERATING SUPPORT	100,000.
CLEAN ENERGY TRUST 20 N WACKER DRIVE, SUITE 734 CHICAGO, IL 60606		PC	GENERAL OPERATING SUPPORT	100,000.
COMMON MARKET 428 EAST ERIE AVENUE PHILADELPHIA, PA 19134		PC	GENERAL OPERATING SUPPORT	50,000.
CRISIS TEXT LINE 24 WEST 25TH STREET, FLOOR 6 NEW YORK, NY 10010		PC	GENERAL OPERATING SUPPORT	100,000.
D-REV 695 MINNESOTA STREET SAN FRANCISCO, CA 94107		PC	GENERAL OPERATING SUPPORT	100,000.
EDBUILD 140 BAY STREET, SUITE 2 JERSEY CITY, NJ 07302		PC	GENERAL OPERATING SUPPORT	100,000.
EDUCATION OPENS DOORS P.O. BOX 601971 DALLAS, TX 75360		PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				3,850,000.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EFORALL 175 CABOT STREET, SUITE 100 LOWELL, MA 01854		PC	GENERAL OPERATING SUPPORT	100,000.
EMPOWER SCHOOLS 24 SCHOOL STREET, 3RD FLOOR BOSTON, MA 02108		PC	GENERAL OPERATING SUPPORT	50,000.
EMPOWERMENT PLAN 1401 VERMONT STREET DETROIT, MI 48216		PC	GENERAL OPERATING SUPPORT	100,000.
FUND FOR THE CITY OF NEW YORK 121 AVENUE OF THE AMERICAS, 6TH FLOOR NEW YORK, NY 10013		PC	PROJECT: POWER OF TWO	100,000.
HEAL INITIATIVE (UCSF) 300 FRANK H. OGAWA PLAZA, SUITE 520 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	100,000.
ICIVICS 1035 CAMBRIDGE STREET, SUITE 21B CAMBRIDGE, MA 02141		PC	GENERAL OPERATING SUPPORT	50,000.
IDEO.ORG 444 SPEAR STREET, SUITE 213 SAN FRANCISCO, CA 94105		PC	GENERAL OPERATING SUPPORT	100,000.
IMMIGRANT JUSTICE CORPS 17 BATTERY PLACE, SUITE 236 NEW YORK, NY 10004		PC	GENERAL OPERATING SUPPORT	100,000.
JUMA VENTURES 131 STEUART STREET, SUITE 201 SAN FRANCISCO, CA 94105		PC	PROJECT: 12 FOR LIFE	100,000.
JUSTICE RAPID RESPONSE 205 EAST 42ND SREET, 20TH FLOOR NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAVA MAE 3543 18TH STREET, #24 SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	50,000.
MATCH BEYOND 50 MILK STREET, SUITE 600 (6TH FLOOR) BOSTON, MA 02109		PC	GENERAL OPERATING SUPPORT	50,000.
MEASURES FOR JUSTICE 60 PARK AVENUE ROCHESTER, NY 14607		PC	GENERAL OPERATING SUPPORT	50,000.
MUSO 3254 19TH STREET, 2ND FLOOR SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	100,000.
NEST 501 FIFTH AVENUE, SUITE 1608 NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	100,000.
NEW STORY 870 MARKET STREET, SUITE 1142 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	100,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE NW, SUITE 300 WASHINGTON, DC 20036		PC	PROJECT: UPSTREAM	100,000.
NOORA HEALTH 2443 FILLMORE STREET, #380-3203 SAN FRANCISCO, CA 94115		PC	GENERAL OPERATING SUPPORT	100,000.
OPEN UP RESOURCES (FOMERLY K-12 OER COLLABORATIVE) 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025		PC	GENERAL OPERATING SUPPORT	100,000.
OPENBIOME 200 INNER BELT ROAD SOMERVILLE, MA 02143		PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PHILLIPS BROOKS HOUSE ASSOCIATION 1 HARVARD YARD CAMBRIDGE, MA 02138		PC	PROJECT: Y2Y	100,000.
RAINFOREST CONNECTION 77 VAN NESS AVE, SUITE 101-1717 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	50,000.
SAFEPASSAGES 250 FRANK H. OGAWA PLAZA, SUITE 6306 OAKLAND, CA 94612		PC	PROJECT: ADVANCE PEACE	50,000.
SERVICE YEAR 1400 EYE ST. NW, SUITE 900 WASHINGTON, DC 20005		PC	GENERAL OPERATING SUPPORT	100,000.
SIRUM 3000 EL CAMINO REAL, BUILDING 4, SUITE 200, #8979 PALO ALTO, CA 94306		PC	GENERAL OPERATING SUPPORT	50,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVE, #224 NEW YORK, NY 10016		PC	GENERAL OPERATING SUPPORT	50,000.
SPARK MICROGRANTS 116 W HOUSTON STREET, FLOOR 2 NEW YORK, NY 10012		PC	GENERAL OPERATING SUPPORT	150,000.
STRIVE TOGETHER 125 EAST NINTH STREET, 2ND FLOOR CINCINATTI, OH 45202		PC	GENERAL OPERATING SUPPORT	100,000.
STRONGMINDS 515 VALLEY STREET, SUITE 6 MAPLEWOOD, NJ 07040		PC	GENERAL OPERATING SUPPORT	100,000.
THE CENTER FOR THE STUDY OF SOCIAL POLICY 1575 EYE STREET N.W., SUITE 500 WASHINGTON, DC 20005		PC	PROJECT: FOSTER AMERICA	50,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE EARTH GENOME 121 PEPPER DRIVE LOS ALTOS, CA 94022		PC	GENERAL OPERATING SUPPORT	50,000.
THE GROUNDTRUTH PROJECT 10 GUEST STREET BOSTON, MA 02135		PC	GENERAL OPERATING SUPPORT	50,000.
THE RESET FOUNDATION P.O. BOX 2305 BERKELEY, CA 94702		PC	GENERAL OPERATING SUPPORT	100,000.
THE TIDES CENTER THE PRESIDIO, PO BOX 29907 SAN FRANCISCO, CA 94129		PC	PROJECT: LAVA MAE	50,000.
THE TIDES CENTER DEPOSITORY ACCT 1014 TORNEY AVE SAN FRANCISCO, CA 94129		PC	PROJECT: EYELLIANCE	50,000.
TRANSCEND EDUCATION 159 LINCOLN AVENUE HASTINGS-ON-HUDSON, NY 10706		PC	GENERAL OPERATING SUPPORT	100,000.
WATSI 360 LANGTON STREET, SUITE 200 SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	50,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAST (COMMUNITY ARTS STABILIZATION TRUST) 70 OTIS STREET SAN FRANCISCO, CA 94103		PC	GENERAL OPERATING SUPPORT	150,000.
CATIE'S CLOSET 19 SCHOOL STREET DRACUT, MA 01826		PC	GENERAL OPERATING SUPPORT	150,000.
CITY HEALTH WORKS 127 WEST 127TH STREET, SUITE 207 NEW YORK, NY 10027		PC	GENERAL OPERATING SUPPORT	50,000.
CLEAN ENERGY TRUST 20 N WACKER DRIVE, SUITE 734 CHICAGO, IL 60606		PC	GENERAL OPERATING SUPPORT	50,000.
COMMON MARKET 428 EAST ERIE AVENUE PHILADELPHIA, PA 19134		PC	GENERAL OPERATING SUPPORT	250,000.
CRISIS TEXT LINE 24 WEST 25TH STREET, FLOOR 6 NEW YORK, NY 10010		PC	GENERAL OPERATING SUPPORT	50,000.
D-REV 695 MINNESOTA STREET SAN FRANCISCO, CA 94107		PC	GENERAL OPERATING SUPPORT	50,000.
EDBUILD 140 BAY STREET, SUITE 2 JERSEY CITY, NJ 07302		PC	GENERAL OPERATING SUPPORT	150,000.
EDUCATION OPENS DOORS P.O. BOX 601971 DALLAS, TX 75360		PC	GENERAL OPERATING SUPPORT	250,000.
EFORALL 175 CABOT STREET, SUITE 100 LOWELL, MA 01854		PC	GENERAL OPERATING SUPPORT	200,000.
Total from continuation sheets				5,600,000.

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EMPOWER SCHOOLS 24 SCHOOL STREET, 3RD FLOOR BOSTON, MA 02108		PC	GENERAL OPERATING SUPPORT	250,000.
EMPOWERMENT PLAN 1401 VERMONT STREET DETROIT, MI 48216		PC	GENERAL OPERATING SUPPORT	50,000.
FUND FOR THE CITY OF NEW YORK 121 AVENUE OF THE AMERICAS, 6TH FLOOR NEW YORK, NY 10013		PC	PROJECT: POWER OF TWO	150,000.
HEAL INITIATIVE (UCSF) 300 FRANK H. OGAWA PLAZA, SUITE 520 OAKLAND, CA 94612		PC	GENERAL OPERATING SUPPORT	50,000.
IDEO.ORG 444 SPEAR STREET, SUITE 213 SAN FRANCISCO, CA 94105		PC	GENERAL OPERATING SUPPORT	50,000.
IMMIGRANT JUSTICE CORPS 17 BATTERY PLACE, SUITE 236 NEW YORK, NY 10004		PC	GENERAL OPERATING SUPPORT	150,000.
INDUS ACTION B-19 DEFENCE COLONY NEW DELHI, INDIA 110024		NC	GENERAL OPERATING SUPPORT	300,000.
JUMA VENTURES 131 STEUART STREET, SUITE 201 SAN FRANCISCO, CA 94105		PC	PROJECT: 12 FOR LIFE	50,000.
JUSTICE RAPID RESPONSE 205 EAST 42ND SREET, 20TH FLOOR NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	150,000.
LANDED 450 TOWNSEND STREET #201 SAN FRANCISCO, CA 94107		PC	GENERAL OPERATING SUPPORT	150,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAVA MAE 3543 18TH STREET, #24 SAN FRANCISCO, CA 94110		NC	GENERAL OPERATING SUPPORT	50,000.
MUSO 3254 19TH STREET, 2ND FLOOR SAN FRANCISCO, CA 94110		PC	GENERAL OPERATING SUPPORT	50,000.
NEST 501 FIFTH AVENUE, SUITE 1608 NEW YORK, NY 10017		PC	GENERAL OPERATING SUPPORT	50,000.
NEW STORY 870 MARKET STREET, SUITE 1142 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	150,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE NW, SUITE 300 WASHINGTON, DC 20036		PC	PROJECT: UPSTREAM	50,000.
NOORA HEALTH 2443 FILLMORE STREET, #380-3203 SAN FRANCISCO, CA 94115		PC	GENERAL OPERATING SUPPORT	150,000.
OPEN UP RESOURCES (FOMERLY K-12 OER COLLABORATIVE) 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025		PC	GENERAL OPERATING SUPPORT	200,000.
OPENBIOME 200 INNER BELT ROAD SOMERVILLE, MA 02143		PC	GENERAL OPERATING SUPPORT	50,000.
PHILLIPS BROOKS HOUSE ASSOCIATION 1 HARVARD YARD CAMBRIDGE, MA 02138		PC	PROJECT: Y2Y	100,000.
POLLINATE ENERGY LEVEL 2, 4/1, MILLERS TANK BUND RD VASANTH NAGAR, BENGALURU, KARNATAKA, INDIA 560051		NC	GENERAL OPERATING SUPPORT	300,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RAINFOREST CONNECTION 77 VAN NESS AVE, SUITE 101-1717 SAN FRANCISCO, CA 94102		PC	GENERAL OPERATING SUPPORT	250,000.
SERVICE YEAR 1400 EYE ST. NW, SUITE 900 WASHINGTON, DC 20005		PC	GENERAL OPERATING SUPPORT	200,000.
SOLUTIONS JOURNALISM NETWORK 79 MADISON AVE, #224 NEW YORK, NY 10016		PC	GENERAL OPERATING SUPPORT	250,000.
SPARK MICROGRANTS 116 W HOUSTON STREET, FLOOR 2 NEW YORK, NY 10012		PC	GENERAL OPERATING SUPPORT	50,000.
STRIVE TOGETHER 125 EAST NINTH STREET, 2ND FLOOR CINCINATTI, OH 45202		PC	GENERAL OPERATING SUPPORT	50,000.
STRONGMINDS 515 VALLEY STREET, SUITE 6 MAPLEWOOD, NJ 07040		PC	GENERAL OPERATING SUPPORT	150,000.
THE CENTER FOR THE STUDY OF SOCIAL POLICY 1575 EYE STREET N.W., SUITE 500 WASHINGTON, DC 20005		PC	PROJECT: FOSTER AMERICA	50,000.
THE EARTH GENOME 121 PEPPER DRIVE LOS ALTOS, CA 94022		PC	GENERAL OPERATING SUPPORT	200,000.
THE GROUNDTRUTH PROJECT 10 GUEST STREET BOSTON, MA 02135		PC	GENERAL OPERATING SUPPORT	250,000.
THE RESET FOUNDATION P.O. BOX 2305 BERKELEY, CA 94702		PC	GENERAL OPERATING SUPPORT	100,000.
Total from continuation sheets				

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2016

Name of the organization

DRAPER RICHARDS KAPLAN FOUNDATION

Employer identification number

91-2172351

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

Name of organization DRAPER RICHARDS KAPLAN FOUNDATION	Employer identification number 91-2172351
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Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JEWISH COMMUNITY FEDERATION 121 STEUART STREET SAN FRANCISCO, CA 94105	\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	FIDELITY CHARITABLE GIFT FUND 100 CROSBY PARKWAY, MAIL ZONE KC1D-FCS COVINGTON, KY 41015	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	ARTHUR AND TONI REMBE ROCK ONE MARITIME PLAZA, SUITE 1220 SAN FRANCISCO, CA 94111	\$ 198,954.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
4	MARIN COMMUNITY FOUNDATION 5 HAMILTON LANDING, SUITE 200 NOVATO, CA 94949	\$ 250,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	WILLIAM H. DRAPER III AND PHYLLIS DRAPER 1600 EL CAMINO REAL, SUITE 155 MENLO PARK, CA 94025	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	KAUFFMAN FOUNDATION 4801 ROCKHILL ROAD KANSAS CITY, MO 64110	\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization DRAPER RICHARDS KAPLAN FOUNDATION	Employer identification number 91-2172351
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Part I Contributors (See instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	VANGUARD CHARITABLE 2670 WARWICK AVENUE WARWICK, RI 02889	\$ 200,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	SCHWAB CHARITABLE FUND 211 MAIN STREET, FLOOR 10 SAN FRANCISCO, CA 94105	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	UNIVERSITY OF NOTRE DAME 804 GRACE HALL NOTRE DAME, IN 46556	\$ 62,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	NINA AND CASPER DE CLERCQ 125 LAIDLEY ST. SAN FRANCISCO, CA 94131	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
11	THE F.B. HERON FOUNDATION 100 BROADWAY, 7TH FLOOR NEW YORK, NY 10005	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization DRAPER RICHARDS KAPLAN FOUNDATION	Employer identification number 91-2172351
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Part II Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
3	3,935 SHARES OF QCOM	\$ 198,954.	04/01/16
_____	_____	\$ _____	_____
_____	_____	\$ _____	_____
_____	_____	\$ _____	_____
_____	_____	\$ _____	_____
_____	_____	\$ _____	_____
_____	_____	\$ _____	_____
_____	_____	\$ _____	_____

Name of organization DRAPER RICHARDS KAPLAN FOUNDATION	Employer identification number 91-2172351
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GAINS FROM PASSTHROUGH	PURCHASED	01/01/16	12/31/16
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.
0.	15,314.	0.	0.
			(F) GAIN OR LOSS
			-15,314.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GAINS FROM PASSTHROUGH	PURCHASED	01/01/16	12/31/16
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.
66,228.	64,202.	0.	0.
			(F) GAIN OR LOSS
			2,026.

CAPITAL GAINS DIVIDENDS FROM PART IV			0.
TOTAL TO FORM 990-PF, PART I, LINE 6A			-13,288.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	709,019.	710,634.	710,634.
TOTAL TO PART I, LINE 3	709,019.	710,634.	710,634.

FORM 990-PF	LEGAL FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	9,418.	0.	9,418.	0.	
TO FM 990-PF, PG 1, LN 16A	9,418.	0.	9,418.	0.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	37,900.	0.	37,900.	0.	
TO FORM 990-PF, PG 1, LN 16B	37,900.	0.	37,900.	0.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER PROFESSIONAL FEES	52,887.	0.	52,887.	0.	
TO FORM 990-PF, PG 1, LN 16C	52,887.	0.	52,887.	0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EXCISE TAXES	53,000.	0.	0.	53,000.	
TO FORM 990-PF, PG 1, LN 18	53,000.	0.	0.	53,000.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ENTREPRENEURIAL EXPENSES	467,570.	0.	467,570.	0.	
MEALS & ENTERTAINMENT	91,938.	0.	91,938.	0.	
DONORS EVENT	86,131.	0.	86,131.	0.	
COMMUNICATIONS	71,455.	0.	71,455.	0.	
DUES & SUBSCRIPTIONS	68,126.	0.	68,126.	0.	
BANKING & INVESTMENT FEES	55,948.	55,948.	0.	0.	
IT EXPENSE	47,681.	0.	47,681.	0.	
OFFICE SUPPLIES	36,539.	0.	36,539.	0.	
DEVELOPMENT	35,278.	0.	35,278.	0.	
COMPUTER SUPPLIES & EQUIPMENT	30,554.	0.	30,554.	0.	
MARKETING	25,716.	0.	25,716.	0.	
INSURANCE	13,249.	0.	13,249.	0.	
POSTAGE & DELIVERY	3,139.	0.	3,139.	0.	
GIFTS	2,875.	0.	2,875.	0.	
OTHER EXPENSES	2,839.	0.	2,839.	0.	
LICENSES, PERMITS, FEES & TAXES	2,816.	0.	2,816.	0.	
REPAIRS & SERVICES	1,186.	0.	1,186.	0.	
PASSTHROUGH DEDUCTIONS	0.	17,061.	0.	0.	
EXEMPT FUNCTION EXPENSES EXCEEDING INCOME	0.	0.	-4,792,823.	4,792,823.	
TO FORM 990-PF, PG 1, LN 23	1,043,040.	73,009.	-3,805,731.	4,792,823.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	8
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
MS FUND III GOVERNMENT SECURITIES	X		1,100,273.	1,100,273.	
MS FUND III MUNICIPAL BONDS		X	198,813.	198,813.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,100,273.	1,100,273.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			198,813.	198,813.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,299,086.	1,299,086.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
MS FUND III FIXED INCOME- SEE ATTACHMENT A	23,642,387.	23,642,387.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	23,642,387.	23,642,387.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AVANTI	FMV	97,363.	97,363.
LEGACY VENTURES IV, LLC	FMV	1,053,340.	1,053,340.
OFFITT HALL PARTNERSHIP	FMV	2,147,321.	2,147,321.
FINCH THERAPEUTICS, INC.	FMV	150,000.	150,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,448,024.	3,448,024.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	11
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
FURNITURE	46,077.	20,388.	25,689.
EQUIPMENT	44,505.	43,651.	854.
LEASEHOLD IMPROVEMENTS	42,517.	10,629.	31,888.
INTANGIBLE ASSETS	73,394.	4,078.	69,316.
TOTAL TO FM 990-PF, PART II, LN 14	206,493.	78,746.	127,747.

FORM 990-PF	OTHER ASSETS	STATEMENT	12
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSITS	44,591.	41,246.	41,246.
EMPLOYEE ADVANCES	0.	35,597.	35,597.
TO FORM 990-PF, PART II, LINE 15	44,591.	76,843.	76,843.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	13
DESCRIPTION		BOY AMOUNT	EOY AMOUNT
ACCRUED PAYROLL LIABILITIES		31,528.	50,708.
TOTAL TO FORM 990-PF, PART II, LINE 22		31,528.	50,708.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM H. DRAPER III 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 25.00	0.	0.	0.
ROBIN RICHARDS DONOHOE 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
ROBERT S. KAPLAN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CO-CHAIR 8.00	0.	0.	0.
JAMES BILDNER 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	CHIEF EXECUTIVE OFFICER 50.00	275,000.	76,179.	0.
CHRISTINE REMEY CHIN 1600 EL CAMINO REAL #155 MENLO PARK, CA 94025	MANAGING PARTNER 50.00	240,000.	45,588.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		515,000.	121,767.	0.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 15

ACTIVITY ONE

THE FOUNDATION FUNDED UNRESTRICTED GRANTS TO 51 NONPROFIT ORGANIZATIONS PROMOTING SOCIAL CHANGE AROUND THE WORLD. IN ADDITION TO THE GRANTS, THE FOUNDATION PROVIDES EACH ORGANIZATION WITH DEVELOPMENT SUPPORT AND SUBSTANTIAL RESOURCES, WHICH INCLUDES ADVICE AND TRAINING ON STRATEGIC PLANNING, BOARD DEVELOPMENT, FUNDRAISING, ORGANIZATION DEVELOPMENT AND LEADERSHIP, AS WELL AS A 3-DAY RETREAT.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

9,095,823.

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 16

NAME OF MANAGER

WILLIAM H. DRAPER III
ROBIN RICHARDS DONOHOE
ROBERT S. KAPLAN

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 17
 PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JIM BILDNER, CHIEF EXECUTIVE OFFICER
 1600 EL CAMINO REAL, SUITE 155
 MENLO PARK, CA 94025

TELEPHONE NUMBER	NAME OF GRANT PROGRAM
650-319-7808	ALL PROGRAMS

EMAIL ADDRESS

N/A

FORM AND CONTENT OF APPLICATIONS

ORGANIZATIONS INTERESTED IN REQUESTING A GRANT FROM THE FOUNDATION ARE ENCOURAGED TO REVIEW OUR FUNDING CRITERIA AND OBJECTIVES FIRST AND THEN SUBMIT AN ONLINE APPLICATION THROUGH OUR WEBSITE AT WWW.DRKFOUNDATION.ORG. THE FOUNDATION REVIEWS ALL ONLINE APPLICATIONS AND BASED ON OUR APPRAISAL OF THE FIT OF THE VENTURE WITH OUR INVESTMENT STYLE, A SELECT NUMBER OF APPLICATIONS WILL MOVE TO THE SUBSEQUENT PHASES OF DILIGENCE.

ANY SUBMISSION DEADLINES

THERE ARE NO DEADLINES FOR SUBMISSION; ACCEPTED ALL YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

ORGANIZATIONS MUST BE AT THE BEGINNING OF THEIR DEVELOPMENT. WE TYPICALLY PROVIDE THE ORGANIZATION'S FIRST MAJOR INVESTMENT. WE DO NOT FUND ORGANIZATIONS LATER IN THEIR LIFECYCLE. ORGANIZATIONS MUST BE BASED IN THE UNITED STATES ONLY. PREFERENCE IS GIVEN TO THOSE ORGANIZATIONS THAT ARE NATIONAL OR GLOBAL IN REACH AND SOCIAL ISSUE.

GENERAL EXPLANATION

STATEMENT 18

FORM/LINE IDENTIFIER

FORM 990-PF, PART VIII, COLUMN (D):

EXPLANATION:

PART VIII, COLUMN (D) INCLUDES 401K, HEALTH AND LIFE INSURANCE, AND HEALTH SAVINGS ACCOUNT DEPOSITS.

DRAPER RICHARDS KAPLAN FOUNDATION
 ATTACHMENT A
 FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
 FOR YEAR END 12/31/16

CORPORATE FIXED INCOME
 CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
ORACLE CORP Coupon Rate 1.067%; Matures 07/07/2017; CUSIP 68389XAT2 Interest Paid Quarterly Oct 07; Yield to Maturity .919%; Floater; Moody A1	7/1/14	150,000.000	\$100.000 \$100.000	\$100.076	\$150,000.00 \$150,000.00	\$150,114.00	\$114.00 LT	\$1,201.00 \$373.76	0.80
INTERNATIONAL LEASE FINANCE CORPORATION Coupon Rate 8.875%; Matures 09/01/2017; CUSIP 459745GE9 Int. Semi-Annually Mar/Sep 01; Yield to Maturity 2.037%; Moody BA1 (+)	3/22/16	175,000.000	108.000 103.780	104.500	189,000.00 181,615.42	182,875.00	1,259.58 ST	15,531.00 5,177.08	8.49

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
ENTERPRISE PRODS OPER Coupon Rate 6.300%; Matures 09/15/2017; CUSIP 29379VAA1 Int. Semi-Annually Mar/Sep 15; Yield to Maturity 1.802%; Moody BAA1 S&P BBB+; Issued 09/04/07; Asset Class: FI & Pref	12/2/15	100,000.000	107.280 102.927	103.136	107,280.00 102,926.92	103,136.00	209.08 LT	6,300.00 1,854.99	6.10
SANTANDER UK PLC Coupon Rate 1.407%; Matures 09/29/2017; CUSIP 80283LAE3 Interest Paid Quarterly Dec 29; Yield to Maturity 1.644%; Floater: Moody AA3 S&P A; Issued 09/29/14; Asset Class: FI & Pref	9/24/14	500,000.000	100.000 100.000	99.825	500,000.00 500,000.00	499,125.00	(875.00) LT	5,276.00 39.08	1.05
ORACLE CORP Coupon Rate 1.200%; Matures 10/15/2017; CUSIP 68389XAN5 Int. Semi-Annually Apr/Oct 15; Yield to Maturity 1.169%; Moody A1 S&P AA-; Issued 10/25/12; Asset Class: FI & Pref	10/14/16	100,000.000	100.174 100.139	100.024	100,174.00 100,138.90	100,024.00	(114.90) ST	1,200.00 253.33	1.19
WHIRLPOOL CORP Coupon Rate 1.650%; Matures 11/01/2017; CUSIP 963320AS5 Int. Semi-Annually May/Nov 01; Yield to Maturity 1.476%; Moody BAA1 S&P BBB; Issued 11/04/14; Asset Class: FI & Pref	2/26/16	300,000.000	99.906 99.906	100.143	299,718.00 299,718.00	300,429.00	711.00 ST	4,950.00 825.00	1.64
BP CAPITAL MARKETS PLC Coupon Rate 1.375%; Matures 11/06/2017; CUSIP 05565QCC0 Int. Semi-Annually May/Nov 06; Yield to Maturity 1.422%; Moody A2 S&P A-; Issued 11/06/12; Asset Class: FI & Pref	11/30/15	150,000.000	99.846 99.846	99.960	149,769.00 149,769.00	149,940.00	171.00 LT	2,063.00 315.10	1.37
TRANS-CANADA PIPELINES Coupon Rate 1.625%; Matures 11/09/2017; CUSIP 89352HAQ2 Int. Semi-Annually May/Nov 09; Yield to Maturity 1.712%; Moody A3 S&P A-; Issued 11/09/15; Asset Class: FI & Pref	12/1/15	150,000.000	99.890 99.890	99.926	149,835.00 149,835.00	149,889.00	54.00 LT	2,438.00 352.08	1.62
CARNIVAL CORP Coupon Rate 1.875%; Matures 12/15/2017; CUSIP 143658AY8 Int. Semi-Annually Jun/Dec 15; Yield to Maturity 1.451%; Moody A3 S&P A-; Issued 12/06/12; Asset Class: FI & Pref	1/26/16	200,000.000	100.373 100.191	100.401	200,746.00 200,382.39	200,802.00	419.61 ST	3,750.00 166.66	1.86
AMERITECH CAPITAL FUNDING CO GUARNTTEE Coupon Rate 6.450%; Matures 01/15/2018; CUSIP 030955AM0 Int. Semi-Annually Jan/Jul 15; Yield to Maturity 2.016%; S&P BBB+ (-); Issued 01/21/98; Asset Class: FI & Pref	12/9/15	125,000.000	108.105 104.086	104.535	135,131.25 130,107.43	130,668.75	561.32 LT	8,063.00 3,717.70	6.17
FORD MOTOR CREDIT CO LL Coupon Rate 2.375%; Matures 01/16/2018; CUSIP 34540UAA7 Int. Semi-Annually Jan/Jul 16; Yield to Maturity 1.939%; Moody BAA2 S&P BBB; Issued 01/11/13; Asset Class: FI & Pref	12/8/15	200,000.000	100.243 100.122	100.447	200,486.00 200,244.15	200,894.00	649.85 LT	4,750.00 2,177.08	2.36
JP MORGAN CHASE & CO Coupon Rate 1.800%; Matures 01/25/2018; CUSIP 46625HJG6 Int. Semi-Annually Jan/Jul 25; Yield to Maturity 1.694%; Moody A3 S&P A-; Issued 01/25/13; Asset Class: FI & Pref	12/17/15	150,000.000	99.913 99.913	100.111	149,869.50 149,869.50	150,166.50	297.00 LT	2,700.00 1,169.99	1.79
VENTAS REALTY LP/CAP CRP Coupon Rate 2.000%; Matures 02/15/2018; CUSIP 92276MBA2 Int. Semi-Annually Feb/Aug 15; Callable \$100.00 on 01/15/18; Yield to Call 1.799%; Moody BAA1 S&P BBB+; Issued 12/13/12; Asset Class: FI & Pref	3/31/16	200,000.000	100.000 100.000	100.206	200,000.00 200,000.00	200,412.00	412.00 ST	4,000.00 1,511.11	1.99
HEALTHCARE REIT INC Coupon Rate 2.250%; Matures 03/15/2018; CUSIP 42217KAZ9 Int. Semi-Annually Mar/Sep 15; Yield to Maturity 1.889%; Moody BAA1 S&P BBB+; Issued 12/06/12; Asset Class: FI & Pref	1/25/16	250,000.000	100.366 100.209	100.427	250,915.00 250,522.29	251,067.50	545.21 ST	5,625.00 1,656.24	2.24

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
ABBVIE INC Coupon Rate 1.800%; Matures 05/14/2018; CUSIP 00287YAN9 Int. Semi-Annually May/Nov 14; Yield to Maturity 1.744%; Moody BAA2 S&P A-; Issued 05/14/15; Asset Class: FI & Pref	1/26/16	250,000.000	99.678 99.678	100.075	249,195.00 249,195.00	250,187.50	992.50 ST	4,500.00 587.50	1.79
BOEING CO Coupon Rate 0.950%; Matures 05/15/2018; CUSIP 097023BE4 Int. Semi-Annually May/Nov 15; Yield to Maturity 1.282%; Moody A2 S&P A; Issued 05/03/13; Asset Class: FI & Pref	6/23/16	200,000.000	99.900 99.900	99.550	199,800.00 199,800.00	199,100.00	(700.00) ST	1,900.00 242.77	0.95
TORONTO DOMINION BANK Coupon Rate 1.750%; Matures 07/23/2018; CUSIP 89114QB64 Int. Semi-Annually Jan/Jul 23; Yield to Maturity 1.648%; Moody AA1 S&P AA-; Issued 07/23/15; Asset Class: FI & Pref	12/17/15	125,000.000	99.885 99.885	100.157	124,856.25 124,856.25	125,196.25	340.00 LT	2,188.00 960.06	1.74
AUTOZONE INC Coupon Rate 7.125%; Matures 08/01/2018; CUSIP 053332AJ1 Int. Semi-Annually Feb/Aug 01; Yield to Maturity 1.873%; Moody BAA1 S&P BBB; Issued 08/04/08; Asset Class: FI & Pref	1/19/16	175,000.000	112.473 107.894	108.153	196,827.75 188,813.75	189,267.75	454.00 ST	12,469.00 5,195.31	6.58
BEST BUY CO INC Coupon Rate 5.000%; Matures 08/01/2018; CUSIP 086516AM3	11/30/15 2/18/16	125,000.000 130,000.000	104.375 102.647 104.250 102.795	104.589	130,468.75 128,308.37 135,525.00 133,633.17	130,736.25 135,965.70	2,427.88 LT 2,332.53 ST		
	Total	255,000.000			265,993.75 261,941.54	266,701.95	2,427.88 LT 2,332.53 ST	12,750.00 5,312.50	4.78
Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.039%; Moody BAA1 S&P BBB-; Issued 07/16/13; Asset Class: FI & Pref									
DAIMLER FINANCE NA LLC Coupon Rate 2.375%; Matures 08/01/2018; CUSIP U2339CBF4 Int. Semi-Annually Feb/Aug 01; Yield to Maturity 1.890%; Moody A3 S&P A; Issued 08/01/13; Asset Class: FI & Pref	11/25/15	150,000.000	100.607 100.364	100.753	150,910.50 150,546.59	151,129.50	582.91 LT	3,563.00 1,484.37	2.35
METLIFE INC Coupon Rate 6.817%; Matures 08/15/2018; CUSIP 59156RAR9 Int. Semi-Annually Feb/Aug 15; Yield to Maturity 1.903%; Moody A3 S&P A-; Issued 08/15/08; Asset Class: FI & Pref	12/8/15	150,000.000	112.799 107.827	107.810	169,198.50 161,740.69	161,715.00	(25.69) LT	10,226.00 3,862.96	6.32
CAPITAL ONE NA/MCLEAN VA Coupon Rate 2.350%; Matures 08/17/2018; CUSIP 14042E5V8 Int. Semi-Annually Feb/Aug 17; Callable \$100.00 on 07/17/18; Yield to Call 1.971%; Moody BAA1 S&P BBB+; Issued 08/18/15; Asset Class: FI & Pref	1/7/16	250,000.000	100.404 100.256	100.574	251,010.00 250,640.03	251,435.00	794.97 ST	5,875.00 2,186.80	2.33
GILEAD SCIENCES INC Coupon Rate 1.850%; Matures 09/04/2018; CUSIP 375558BE2 Int. Semi-Annually Mar/Sep 04; Yield to Maturity 1.620%; Moody A3 S&P A; Issued 09/14/15; Asset Class: FI & Pref	1/27/16	243,000.000	100.685 100.446	100.378	244,664.55 244,083.14	243,918.54	(164.60) ST	4,496.00 1,461.03	1.84
TORONTO-DOMINION BANK Coupon Rate 1.450%; Matures 09/06/2018; CUSIP 89114QBN7 Int. Semi-Annually Mar/Sep 06; Yield to Maturity 1.744%; First Coupon 03/06/17; Moody AA1 S&P AA-; Issued 09/06/16; Asset Class: FI & Pref	8/30/16	150,000.000	99.982 99.982	99.514	149,973.00 149,973.00	149,271.00	(702.00) ST	2,175.00 694.79	1.45
TOYOTA MOTOR CREDIT CORP Coupon Rate 2.000%; Matures 10/24/2018; CUSIP 89236TAY1	12/10/15	150,000.000	101.112 100.711	100.592	151,668.00 151,066.88	150,888.00	(178.88) LT	3,000.00 558.33	1.98

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int. Semi-Annually Apr/Oct 24; Yield to Maturity 1.667%; Moody AA3 S&P AA-; Issued 10/24/13; Asset Class: FI & Pref									
BNP PARIBAS	1/13/16	125,000.000	101.580	100.785	126,975.00			3,000.00	2.38
Coupon Rate 2.400%; Matures 12/12/2018; CUSIP 05574LTX6			101.071		126,338.58	125,981.25	(357.33) ST	158.33	
Int. Semi-Annually Jun/Dec 12; Yield to Maturity 1.987%; Moody A1 S&P A; Issued 12/12/13; Asset Class: FI & Pref									
JOHN DEERE CAPITAL CORP	1/5/16	150,000.000	99.994	100.421	149,991.00			2,925.00	1.94
Coupon Rate 1.950%; Matures 01/08/2019; CUSIP 24422ETE9			99.994		149,991.00	150,631.50	640.50 ST	1,405.62	
Int. Semi-Annually Jan/Jul 08; Yield to Maturity 1.737%; Moody A2 S&P A; Issued 01/08/16; Asset Class: FI & Pref									
DR PEPPER SNAPPLE GROUP	1/22/16	225,000.000	100.781	101.464	226,757.25			5,850.00	2.56
Coupon Rate 2.600%; Matures 01/15/2019; CUSIP 26138EAN9			100.542		226,220.41	228,294.00	2,073.59 ST	2,697.49	
Int. Semi-Annually Jan/Jul 15; Yield to Maturity 1.865%; Moody BAA1 S&P BBB+; Issued 11/15/11; Asset Class: FI & Pref									
GENERAL MOTORS FINL CO	1/22/16	225,000.000	99.523	101.061	223,926.75				
Coupon Rate 3.100%; Matures 01/15/2019; CUSIP 37045XBB1			99.523		223,926.75	227,387.25	3,460.50 ST		
	2/26/16	75,000.000	99.099	101.061	74,324.18				
			99.099		74,324.18	75,795.75	1,471.57 ST		
Total		300,000.000			298,250.93			9,300.00	3.06
					298,250.93	303,183.00	4,932.07 ST	4,288.33	
Int. Semi-Annually Jan/Jul 15; Yield to Maturity 2.562%; Moody BA1 S&P BBB-; Issued 10/13/15; Asset Class: FI & Pref									
ORACLE CORP	10/14/16	100,000.000	102.209	101.339	102,209.00			2,375.00	2.34
Coupon Rate 2.375%; Matures 01/15/2019; CUSIP 68389XAQ8			102.014		102,014.04	101,339.00	(675.04) ST	1,095.13	
Int. Semi-Annually Jan/Jul 15; Yield to Maturity 1.704%; Moody A1 S&P AA-; Issued 07/16/13; Asset Class: FI & Pref									
SYNCHRONY FINANCIAL	12/1/15	150,000.000	99.974	100.506	149,961.00				
Coupon Rate 2.600%; Matures 01/15/2019; CUSIP 87165BAJ2			99.974		149,961.00	150,759.00	798.00 LT		
	2/26/16	150,000.000	99.488	100.506	149,232.00				
			99.488		149,232.00	150,759.00	1,527.00 ST		
Total		300,000.000			299,193.00			7,800.00	2.58
					299,193.00	301,518.00	798.00 LT 1,527.00 ST	3,596.66	
Int. Semi-Annually Jan/Jul 15; Callable \$100.00 on 12/15/18; Yield to Call 2.333%; S&P BBB-; Issued 12/04/15; Asset Class: FI & Pref									
WELLS FARGO & COMPANY	1/29/16	291,000.000	100.981	100.550	293,854.71			6,257.00	2.13
Coupon Rate 2.150%; Matures 01/15/2019; CUSIP 94974BFQ8			100.683		292,988.86	292,600.50	(388.36) ST	2,884.94	
Int. Semi-Annually Jan/Jul 15; Yield to Maturity 1.874%; Moody A2 S&P A; Issued 10/28/13; Asset Class: FI & Pref									
ANHEUSER-BUSCH INBEV FIN	1/13/16	140,000.000	99.729	100.144	139,620.60			2,660.00	1.89
Coupon Rate 1.900%; Matures 02/01/2019; CUSIP 035242AG1			99.729		139,620.60	140,201.60	581.00 ST	1,108.33	
Int. Semi-Annually Feb/Aug 01; Yield to Maturity 1.829%; Moody A3 S&P A-; Issued 01/25/16; Asset Class: FI & Pref									
SIMON PROPERTY GROUP LP	1/27/16	275,000.000	101.318	100.813	278,623.40			6,050.00	2.18
Coupon Rate 2.200%; Matures 02/01/2019; CUSIP 828807CQ8			100.922		277,535.88	277,235.75	(300.13) ST	2,520.83	
Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 11/01/18; Yield to Call 1.747%; Moody A2 S&P A; Issued 01/21/14; Asset Class: FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
THERMO FISHER SCIENTIFIC Coupon Rate 2.400%; Matures 02/01/2019; CUSIP 883556BE1 Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.025%; Moody BAA2 S&P BBB; Issued 12/11/13; Asset Class: FI & Pref	2/2/16	250,000.000	100.750 100.528	100.760	251,875.00 251,319.21	251,900.00	580.79 ST	6,000.00 2,500.00	2.38
CISCO SYSTEMS INC Coupon Rate 4.950%; Matures 02/15/2019; CUSIP 17275RAE2 Int. Semi-Annually Feb/Aug 15; Yield to Maturity 1.629%; Moody A1 S&P AA-; Issued 02/17/09; Asset Class: FI & Pref	2/4/16	225,000.000	110.075 107.134	106.899	247,668.75 241,052.22	240,522.75	(529.47) ST	11,138.00 4,207.50	4.63
TOYOTA MOTOR CREDIT CORP Coupon Rate 1.700%; Matures 02/19/2019; CUSIP 89236TCU7 Int. Semi-Annually Feb/Aug 19; Yield to Maturity 1.851%; Moody AA3 S&P AA-; Issued 02/19/16; Asset Class: FI & Pref	2/16/16	100,000.000	99.988 99.988	99.684	99,988.00 99,988.00	99,684.00	(304.00) ST	1,700.00 623.33	1.70
APPLE INC Coupon Rate 1.700%; Matures 02/22/2019; CUSIP 037833BQ2 Int. Semi-Annually Feb/Aug 23; Yield to Maturity 1.610%; Moody AA1 S&P AA+; Issued 02/23/16; Asset Class: FI & Pref	2/16/16	200,000.000	99.983 99.983	100.189	199,966.00 199,966.00	200,378.00	412.00 ST	3,400.00 1,208.88	1.69
CISCO SYSTEM INC Coupon Rate 1.600%; Matures 02/28/2019; CUSIP 17275RBB7 Int. Semi-Annually Feb/Aug 28; Yield to Maturity 1.708%; Moody A1 S&P AA-; Issued 02/29/16; Asset Class: FI & Pref	2/22/16	100,000.000	99.971 99.971	99.772	99,971.00 99,971.00	99,772.00	(199.00) ST	1,600.00 546.66	1.60
SANTANDER UK PLC Coupon Rate 2.500%; Matures 03/14/2019; CUSIP 80283LAK9 Int. Semi-Annually Mar/Sep 14; Yield to Maturity 2.261%; Moody AA3 S&P A; Issued 03/14/16; Asset Class: FI & Pref	6/17/16	300,000.000	101.773 101.438	100.510	305,319.00 304,313.80	301,530.00	(2,783.80) ST	7,500.00 2,229.16	2.48
BERKSHIRE HATHAWAY FIN Coupon Rate 1.700%; Matures 03/15/2019; CUSIP 084664CG4 Int. Semi-Annually Mar/Sep 15; Yield to Maturity 1.741%; Moody AA2 S&P AA; Issued 03/15/16; Asset Class: FI & Pref	3/8/16	290,000.000	99.924 99.924	99.911	289,779.60 289,779.60	289,741.90	(37.70) ST	4,930.00 1,451.61	1.70
MEDTRONIC INC Coupon Rate 5.600%; Matures 03/15/2019; CUSIP 585055AN6 Int. Semi-Annually Mar/Sep 15; Yield to Maturity 2.017%; Moody A3 S&P A; Issued 03/12/09; Asset Class: FI & Pref	1/14/16	110,000.000	111.327 107.991	107.689	122,459.70 118,789.81	118,457.90	(331.91) ST	6,160.00 1,813.77	5.20
IBM CORP Coupon Rate 1.800%; Matures 05/17/2019; CUSIP 459200JE2 Int. Semi-Annually May/Nov 17; Yield to Maturity 1.781%; Moody AA3 S&P AA-; Issued 02/19/16; Asset Class: FI & Pref	10/21/16	230,000.000	101.264 101.176	100.043	232,907.20 232,704.65	230,098.90	(2,605.75) ST	4,140.00 505.99	1.79
CITIGROUP INC Coupon Rate 2.050%; Matures 06/07/2019; CUSIP 172967KS9 Int. Semi-Annually Jun/Dec 07; Yield to Maturity 2.210%; Moody BAA1 S&P BBB+; Issued 06/09/16; Asset Class: FI & Pref	6/3/16	250,000.000	99.948 99.948	99.623	249,870.00 249,870.00	249,057.50	(812.50) ST	5,125.00 341.66	2.05
CATERPILLAR FINANCIAL SE Coupon Rate 2.100%; Matures 06/09/2019; CUSIP 14912L6B2 Int. Semi-Annually Jun/Dec 09; Yield to Maturity 1.946%; Moody A3 S&P A; Issued 06/09/14; Asset Class: FI & Pref	12/12/16	165,000.000	100.428 100.420	100.364	165,706.20 165,693.80	165,600.60	(93.20) ST	3,465.00 211.74	2.09
GENERAL ELECTRIC CAPITAL CORP Coupon Rate 6.000%; Matures 08/07/2019; CUSIP 36962G4D3 Int. Semi-Annually Feb/Aug 07; Yield to Maturity 1.849%; Moody A1 S&P AA-; Issued 08/07/09; Asset Class: FI & Pref	10/28/16	175,000.000	112.540 111.808	110.487	196,945.00 195,663.86	193,352.25	(2,311.61) ST	10,500.00 4,200.00	5.43

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
HONEYWELL INTERNATIONAL Coupon Rate 1.400%; Matures 10/30/2019; CUSIP 438516BJ4 Int. Semi-Annually Apr/Oct 30; Yield to Maturity 1.736%; First Coupon 04/30/17; Moody A2 S&P A; Issued 10/31/16; Asset Class: FI & Pref	10/25/16	200,000.000	99.921 99.921	99.075	199,842.00 199,842.00	198,150.00	(1,692.00) ST	2,800.00 466.66	1.41

	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		9,349,000.000	\$9,514,793.39 \$9,458,893.12	\$9,467,583.64	\$5,125.47 LT \$3,565.05 ST	\$245,664.00 \$82,198.24	2.59%
TOTAL CORPORATE FIXED INCOME (includes accrued interest)	93.16%			\$9,549,781.88			

Watchlist and CreditWatch Indicators: (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

GOVERNMENT SECURITIES
TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
UNITED STATES TREASURY NOTE Coupon Rate 0.750%; Matures 10/31/2018; CUSIP 912828T83 Int. Semi-Annually Apr/Oct 30; Yield to Maturity 1.147%; Moody AAA; Issued 10/31/16; Asset Class: FI & Pref	11/15/16	200,000.000	\$99.512 \$99.512	\$99.281	\$199,023.40 \$199,023.40	\$198,562.00	\$(461.40) ST	\$1,500.00 \$251.37	0.75

	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
GOVERNMENT SECURITIES		200,000.000	\$199,023.40 \$199,023.40	\$198,562.00	\$(461.40) ST	\$1,500.00 \$251.37	0.76%
TOTAL GOVERNMENT SECURITIES (includes accrued interest)	1.94%			\$198,813.37			

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		\$9,657,916.52	\$10,168,773.79	\$5,125.47 LT \$3,103.65 ST	\$247,215.00 \$82,449.61	2.41%

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
AIR LEASE CORP	1/21/16	120,000.000	\$101.016	\$101.696	\$121,219.20				
Coupon Rate 3.375%; Matures 01/15/2019; CUSIP 00912XAL8			\$100.707		\$120,848.55	\$122,035.20	\$1,186.65 ST		
	3/16/16	100,000.000	100.199	101.696	100,199.00				
			100.146		100,145.80	101,696.00	1,550.20 ST		
Total		220,000.000			221,418.20	223,731.20	2,736.85 ST	7,425.00	3.31
					220,994.35			3,423.74	

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int. Semi-Annually Jan/Jul 15; Callable \$100.00 on 12/16/18; Yield to Call 2.482%; S&P BBB; Issued 11/19/13; Asset Class: FI & Pref									
THERMO FISHER SCIENTIFIC	2/2/16	200,000.000	100.750	100.760	201,500.00			4,800.00	2.38
Coupon Rate 2.400%; Matures 02/01/2019; CUSIP 883556BE1			100.528		201,055.37	201,520.00	464.63 ST	2,000.00	
Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.025%; Moody BAA2 S&P BBB; Issued 12/11/13; Asset Class: FI & Pref									
AT&T INC.	3/21/16	200,000.000	100.000	109.292	200,000.00			11,750.00	5.37
Coupon Rate 5.875%; Matures 10/01/2019; CUSIP 00206RCX8			100.000		200,000.00	218,584.00	18,584.00 ST	2,937.50	
Int. Semi-Annually Apr/Oct 01; Yield to Maturity 2.364%; Moody BAA1 (-) S&P BBB+ (-); Issued 10/01/15; Asset Class: FI & Pref									
VERIZON COMMUNICATIONS	12/15/15	150,000.000	100.645	100.959	150,967.50				
Coupon Rate 2.625%; Matures 02/21/2020; CUSIP 92343VCH5			100.491		150,736.28	151,438.50	702.22 LT		
	3/16/16	150,000.000	102.158	100.959	153,237.45				
			101.743		152,614.31	151,438.50	(1,175.81) ST		
Total		300,000.000			304,204.95			7,875.00	2.60
					303,350.59	302,877.00	702.22 LT (1,175.81) ST	2,843.74	
Int. Semi-Annually Feb/Aug 21; Yield to Maturity 2.306%; Moody BAA1 S&P BBB+; Issued 02/21/15; Asset Class: FI & Pref									
CVS HEALTH CORP	1/5/16	200,000.000	100.836	101.461	201,672.00				
Coupon Rate 2.800%; Matures 07/20/2020; CUSIP 126650CJ7			100.663		201,326.61	202,922.00	1,595.39 ST		
	3/16/16	100,000.000	103.373	101.461	103,373.00				
			102.788		102,787.73	101,461.00	(1,326.73) ST		
Total		300,000.000			305,045.00			8,400.00	2.75
					304,114.34	304,383.00	268.66 ST	3,756.66	
Int. Semi-Annually Jan/Jul 20; Callable \$100.00 on 06/20/20; Yield to Call 2.359%; Moody BAA1 S&P BBB+; Issued 07/20/15; Asset Class: FI & Pref									
RYDER SYSTEM INC	12/8/15	250,000.000	99.450	101.087	248,625.00			7,188.00	2.84
Coupon Rate 2.875%; Matures 09/01/2020; CUSIP 78355HKA6			99.450		248,625.00	252,717.50	4,092.50 LT	2,395.83	
Int. Semi-Annually Mar/Sep 01; Callable \$100.00 on 08/01/20; Yield to Call 2.555%; Moody BAA1 S&P BBB+; Issued 08/24/15; Asset Class: FI & Pref									
AMERICAN EXPRESS CREDIT	12/15/15	150,000.000	100.025	100.836	150,037.50				
Coupon Rate 2.600%; Matures 09/14/2020; CUSIP 0258MODX4			100.020		150,029.68	151,254.00	1,224.32 LT		
	3/16/16	150,000.000	101.460	100.836	152,190.00				
			101.217		151,825.09	151,254.00	(571.09) ST		
Total		300,000.000			302,227.50			7,800.00	2.57
					301,854.77	302,508.00	1,224.32 LT (571.09) ST	2,318.33	
Int. Semi-Annually Mar/Sep 14; Callable \$100.00 on 08/14/20; Yield to Call 2.357%; Moody A2 S&P A-; Issued 09/14/15; Asset Class: FI & Pref									
EBAY INC	1/13/16	200,000.000	102.464	102.351	204,928.00			6,500.00	3.17
Coupon Rate 3.250%; Matures 10/15/2020; CUSIP 278642AC7			101.994		203,988.03	204,702.00	713.97 ST	1,372.22	
Int. Semi-Annually Apr/Oct 15; Callable \$100.00 on 07/15/20; Yield to Call 2.551%; Moody BAA1 S&P BBB+; Issued 10/28/10; Asset Class: FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
DOW CHEMICAL CO/THE Coupon Rate 4.250%; Matures 11/15/2020; CUSIP 260543CC5 Int. Semi-Annually May/Nov 15; Callable \$100.00 on 08/15/20; Yield to Call 2.532%; Moody BAA2 S&P BBB (*); Issued 11/09/10; Asset Class: FI & Pref	1/7/16	200,000.000	105.514 104.472	105.908	211,028.00 208,943.08	211,816.00	2,872.92 ST	8,500.00 1,086.11	4.01
ROPER TECHNOLOGIES INC Coupon Rate 3.000%; Matures 12/15/2020; CUSIP 776743AA4	12/4/15 3/16/16	150,000.000 150,000.000	100.174 100.139 100.926 100.782	101.301	150,261.00 150,208.95 151,389.00 151,172.80	151,951.50 151,951.50	1,742.55 LT 778.70 ST		
Total		300,000.000			301,650.00 301,381.75	303,903.00	1,742.55 LT 778.70 ST	9,000.00 399.99	2.96
Int. Semi-Annually Jun/Dec 15; Callable \$100.00 on 11/15/20; Yield to Call 2.644%; Moody BAA3 S&P BBB; Issued 12/07/15; Asset Class: FI & Pref									
SANTANDER UK GROUP HLDGS Coupon Rate 3.125%; Matures 01/08/2021; CUSIP 80281LAD7 Int. Semi-Annually Jan/Jul 08; Yield to Maturity 3.187%; Moody BAA1 S&P BBB; Issued 01/08/16; Asset Class: FI & Pref	1/5/16	200,000.000	99.899 99.899	99.766	199,798.00 199,798.00	199,532.00	(266.00) ST	6,250.00 3,003.47	3.13
AMHEUSER-BUSCH INBEV FIN Coupon Rate 2.650%; Matures 02/01/2021; CUSIP 035242AJ5 Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 01/01/21; Yield to Call 2.498%; Moody A3 S&P A-; Issued 01/25/16; Asset Class: FI & Pref	1/13/16	200,000.000	99.687 99.687	100.575	199,374.00 199,374.00	201,150.00	1,776.00 ST	5,300.00 2,208.33	2.63
FORD MOTOR CREDIT CO LLC Coupon Rate 5.750%; Matures 02/01/2021; CUSIP 345397VR1 Int. Semi-Annually Feb/Aug 01; Yield to Maturity 3.207%; Moody BAA2 S&P BBB; Issued 02/07/11; Asset Class: FI & Pref	12/3/15	200,000.000	111.513 109.287	109.658	223,026.00 218,574.16	219,316.00	741.84 LT	11,500.00 4,791.66	5.24
REGIONS FINANCIAL CORP Coupon Rate 3.200%; Matures 02/08/2021; CUSIP 7591EPAK6 Int. Semi-Annually Feb/Aug 08; Callable \$100.00 on 01/08/21; Yield to Call 2.825%; Moody BAA2 S&P BBB; Issued 02/08/16; Asset Class: FI & Pref	2/3/16	170,000.000	99.895 99.895	101.415	169,821.50 169,821.50	172,405.50	2,584.00 ST	5,440.00 2,160.88	3.15
AMERICAN INTL GROUP Coupon Rate 3.300%; Matures 03/01/2021; CUSIP 026874DG9 Int. Semi-Annually Mar/Sep 01; Callable \$100.00 on 02/01/21; Yield to Call 2.668%; Moody BAA1 S&P A-; Issued 02/26/16; Asset Class: FI & Pref	3/17/16	300,000.000	101.555 101.325	102.428	304,665.00 303,976.40	307,284.00	3,307.60 ST	9,900.00 3,299.99	3.22
WELLS FARGO & COMPANY Coupon Rate 2.500%; Matures 03/04/2021; CUSIP 949746RS2 Int. Semi-Annually Mar/Sep 04; Yield to Maturity 2.688%; Moody A2 S&P A; Issued 03/04/16; Asset Class: FI & Pref	2/26/16	300,000.000	99.977 99.977	99.259	299,931.00 299,931.00	297,777.00	(2,154.00) ST	7,500.00 2,437.50	2.51
BEST BUY CO INC Coupon Rate 5.500%; Matures 03/15/2021; CUSIP 086516AL5 Int. Semi-Annually Mar/Sep 15; Callable \$100.00 on 12/15/20; Yield to Call 3.066%; Moody BAA1 S&P BBB-; Issued 03/11/11; Asset Class: FI & Pref	12/3/15	200,000.000	104.375 103.571	109.000	208,750.00 207,142.92	218,000.00	10,857.08 LT	11,000.00 3,238.88	5.04
MASCO CORP SR GLBL Coupon Rate 3.500%; Matures 04/01/2021; CUSIP 574599BK1 Int. Semi-Annually Apr/Oct 01; Callable \$100.00 on 03/01/21; Yield to Call 3.434%; Moody BA2 S&P BBB; Issued 03/17/16; Asset Class: FI & Pref	3/17/16	300,000.000	100.773 100.662	100.250	302,319.00 301,985.60	300,750.00	(1,235.60) ST	10,500.00 2,625.00	3.49
AUTOZONE INC Coupon Rate 2.500%; Matures 04/15/2021; CUSIP 053332AS1	12/4/15	150,000.000	98.156 98.156	98.876	147,234.00 147,234.00	148,314.00	1,080.00 LT	3,750.00 791.66	2.52

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int. Semi-Annually Apr/Oct 15; Callable \$100.00 on 03/15/21; Yield to Maturity 2.779%; Moody BAA1 S&P BBB; Issued 04/29/15; Asset Class: FI & Pref									
BANK OF AMERICA CORP	12/3/15	200,000.000	110.315	108.904	220,630.00			10,000.00	4.59
Coupon Rate 5.000%; Matures 05/13/2021; CUSIP 06051GEH8			110.315		220,630.00	217,808.00	(2,822.00) LT	1,333.33	
Int. Semi-Annually May/Nov 13; Yield to Maturity 2.818%; Moody BAA1 S&P BBB+; Issued 05/13/11; Asset Class: FI & Pref									
VULCAN MATERIALS	10/11/16	200,000.000	121.900	117.750	243,800.00			15,000.00	6.36
Coupon Rate 7.500%; Matures 06/15/2021; CUSIP 929160ARO			120.947		241,894.73	235,500.00	(6,394.73) ST	666.66	
Int. Semi-Annually Jun/Dec 15; Yield to Maturity 3.194%; Moody BA1 S&P BBB; Issued 06/14/11; Asset Class: FI & Pref									
TORONTO-DOMINION BANK	7/7/16	300,000.000	99.895	96.837	299,685.00			5,400.00	1.85
Coupon Rate 1.800%; Matures 07/13/2021; CUSIP 89114QBL1			99.895		299,685.00	290,511.00	(9,174.00) ST	2,520.00	
Int. Semi-Annually Jan/Jul 13; Yield to Maturity 2.543%; First Coupon 01/13/17; Moody AA1 S&P AA-; Issued 07/13/16; Asset Class: FI & Pref									
CAPITAL ONE FINANCIAL CO	12/3/15	200,000.000	108.674	108.158	217,348.00			9,500.00	4.39
Coupon Rate 4.750%; Matures 07/15/2021; CUSIP 14040HAY1			107.137		214,274.14	216,316.00	2,041.86 LT	4,380.55	
Int. Semi-Annually Jan/Jul 15; Yield to Maturity 2.822%; Moody BAA1 S&P BBB; Issued 07/19/11; Asset Class: FI & Pref									
CITIGROUP INC	10/11/16	255,000.000	100.204	97.820	255,520.20			5,993.00	2.40
Coupon Rate 2.350%; Matures 08/02/2021; CUSIP 172967KV2			100.195		255,498.15	249,441.00	(6,057.15) ST	2,480.22	
Int. Semi-Annually Feb/Aug 02; Yield to Maturity 2.860%; First Coupon 02/02/17; Moody BAA1 S&P BBB+; Issued 08/02/16; Asset Class: FI & Pref									
SYNCHRONY FINANCIAL	12/3/15	200,000.000	100.450	102.782	200,900.00				
Coupon Rate 3.750%; Matures 08/15/2021; CUSIP 87165BAC7			100.373		200,745.17	205,564.00	4,818.83 LT		
	3/16/16	100,000.000	102.792	102.782	102,792.00				
			102.418		102,418.00	102,782.00	364.00 ST		
Total		300,000.000			303,692.00			11,250.00	3.64
					303,163.17	308,346.00	4,818.83 LT 364.00 ST	4,250.00	
Int. Semi-Annually Feb/Aug 15; Callable \$100.00 on 06/15/21; Yield to Call 3.077%; S&P BBB-; Issued 08/11/14; Asset Class: FI & Pref									
ORACLE CORP	7/14/16	230,000.000	100.574	97.719	231,320.20			4,370.00	1.94
Coupon Rate 1.900%; Matures 09/15/2021; CUSIP 68389XBK0			100.526		231,209.65	224,753.70	(6,455.95) ST	2,112.16	
Int. Semi-Annually Mar/Sep 15; Callable \$100.00 on 08/15/21; Yield to Maturity 2.415%; First Coupon 03/15/17; Moody A1 S&P AA-; Issued 07/07/16; Asset Class: FI & Pref									
AERCAP IRELAND CAP LTD	5/17/16	300,000.000	99.813	100.875	299,439.00			11,850.00	3.91
Coupon Rate 3.950%; Matures 02/01/2022; CUSIP 00772BAR2			99.813		299,439.00	302,625.00	3,186.00 ST	4,937.50	
Int. Semi-Annually Feb/Aug 01; Callable \$100.00 on 01/01/22; Yield to Call 3.756%; Moody BA1 (+) S&P BBB-; Issued 05/23/16; Asset Class: FI & Pref									
GENERAL MOTORS FINL CO	12/3/15	200,000.000	96.418	98.894	192,836.00				
Coupon Rate 3.450%; Matures 04/10/2022; CUSIP 37045XAW6			96.418		192,836.00	197,788.00	4,952.00 LT		
	3/16/16	100,000.000	97.031	98.894	97,031.00				
			97.031		97,031.00	98,894.00	1,863.00 ST		
Total		300,000.000			289,867.00			10,350.00	3.48
					289,867.00	296,682.00	4,952.00 LT 1,863.00 ST	2,328.75	

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int. Semi-Annually Apr/Oct 10; Callable \$100.00 on 02/10/22; Yield to Maturity 3.682%; Moody BA1 S&P BBB-; Issued 04/10/15; Asset Class: FI & Pref									
ECOLAB INC	1/11/16	200,000.000	99.950	101.826	199,900.00			6,500.00	3.19
Coupon Rate 3.250%; Matures 01/14/2023; CUSIP 278865AU4			99.950		199,900.00	203,652.00	3,752.00 ST	3,015.27	
Int. Semi-Annually Jan/Jul 14; Callable \$100.00 on 11/14/22; Yield to Call 2.909%; Moody BAA1 S&P A-; Issued 01/14/16; Asset Class: FI & Pref									
	Percentage of Holdings	Face Value			Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		6,975,000.000			\$7,116,746.55 \$7,097,705.70	\$7,136,904.90	\$29,431.20 LT \$9,768.00 ST	\$240,591.00 \$75,115.93	3.37%
TOTAL CORPORATE FIXED INCOME	93.62%					\$7,212,020.83			
(includes accrued interest)									

Watchlist and CreditWatch Indicators: (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL MARKET VALUE		\$7,097,705.70	\$7,628,393.61	\$29,431.20 LT \$9,768.00 ST	\$240,641.00 \$75,115.93	3.12%
TOTAL VALUE (includes accrued interest)	100.00%		\$7,703,509.54			

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

ALLOCATION OF ASSETS (^ includes accrued interest)

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs	\$491,488.71	—	—	—	—	—	—
Corporate Fixed Income ^	—	—	\$7,212,020.83	—	—	—	—
TOTAL ALLOCATION OF ASSETS ^	\$491,488.71	—	\$7,212,020.83	—	—	—	—

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

CORPORATE FIXED INCOME
CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
MERCK & CO INC Coupon Rate 1.007%; Matures 02/10/2017; CUSIP 58933YAN5 Interest Paid Quarterly May 11; Yield to Maturity .801%; Floater; Moody A1 S&P AA; Issued 02/10/15; Asset Class: FI & Pref	2/5/15	150,000.000	\$100.000 \$100.000	\$100.022	\$150,000.00 \$150,000.00	\$150,033.00	\$33.00 LT	— \$209.85	—
AT&T INC Coupon Rate 1.600%; Matures 02/15/2017; CUSIP 00206RBC5 Int. Semi-Annually Feb/Aug 15; Yield to Maturity 1.371%; Moody BAA1 (-) S&P BBB+ (-); Issued 02/13/12; Asset Class: FI & Pref	8/23/16	267,000.000	100.346 100.090	100.027	267,923.82 267,240.52	267,072.09	(168.43) ST	2,136.00 1,613.86	0.79
MEDTRONIC INC Coupon Rate 0.875%; Matures 02/27/2017; CUSIP 585055BB1	2/20/14	125,000.000	99.897	99.943	124,871.25	124,928.75	57.50 LT		
	12/3/14	25,000.000	99.468	99.943	24,867.00	24,985.75	118.75 LT		
	12/24/14	50,000.000	99.700	99.943	49,850.00	49,971.50	121.50 LT		
Total		200,000.000			199,588.25 199,588.25	199,886.00	297.75 LT	875.00 602.77	0.43
Int. Semi-Annually Feb/Aug 27; Yield to Maturity 1.238%; Moody A3 S&P A; Issued 02/27/14; Asset Class: FI & Pref									
SANTANDER UK PLC Coupon Rate 1.375%; Matures 03/13/2017; CUSIP 80283LAB9	3/13/14	37,000.000	99.983	99.980	36,993.71	36,992.60	(1.11) LT		
	3/13/14	38,000.000	99.991	99.980	37,996.58	37,992.40	(4.18) LT		
	3/13/14	124,000.000	99.991	99.980	123,988.84	123,975.20	(13.64) LT		
	3/13/14	126,000.000	99.983	99.980	125,978.58	125,974.80	(3.78) LT		
	11/17/14	25,000.000	100.097	99.980	25,024.25	24,995.00	(7.12) LT		
	12/24/14	50,000.000	99.867	99.980	49,933.50	49,990.00	56.50 LT		
Total		400,000.000			399,915.46 399,893.33	399,920.00	26.67 LT	2,750.00 1,650.00	0.68
Int. Semi-Annually Mar/Sep 13; Yield to Maturity 1.469%; Moody AA3 S&P A; Issued 03/13/14; Asset Class: FI & Pref									
FORD MOTOR CREDIT CO LLC Coupon Rate 1.461%; Matures 03/27/2017; CUSIP 345397XD0 Int. Semi-Annually Mar/Sep 27; Yield to Maturity 1.389%; Moody BAA2 S&P BBB; Issued 03/27/15; Asset Class: FI & Pref	11/4/15	350,000.000	99.322	100.016	347,627.00	350,056.00	2,429.00 LT	2,557.00 1,335.19	0.73
PROVINCE OF MANITOBA Coupon Rate 1.300%; Matures 04/03/2017; CUSIP 563469TU9	6/13/16	300,000.000	100.444	100.043	301,332.00	300,129.00	(298.33) ST	1,950.00 953.33	0.64

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int. Semi-Annually Apr/Oct 03; Yield to Maturity 1.128%; Moody AA2 S&P AA-; Issued 03/07/12; Asset Class: FI & Pref									
WAL-MART STORES INC	5/5/16	200,000.000	104.259	101.096	208,518.00			5,375.00	2.65
Coupon Rate 5.375%; Matures 04/05/2017; CUSIP 931142CG6			101.233		202,466.48	202,192.00	(274.48) ST	2,568.05	
Int. Semi-Annually Apr/Oct 05; Yield to Maturity 1.150%; Moody AA2 S&P AA; Issued 04/05/07; Asset Class: FI & Pref									
TORONTO-DOMINION BANK	4/28/14	200,000.000	100.000	100.038	200,000.00			1,124.00	0.56
Coupon Rate 1.124%; Matures 05/02/2017; CUSIP 89114QAR9			100.000		200,000.00	200,076.00	76.00 LT	368.48	
Interest Paid Quarterly Aug 02; Yield to Maturity 1.009%; Floater; Moody AA1 S&P AA-; Issued 05/02/14; Asset Class: FI & Pref									
APPLE INC	4/29/14	150,000.000	100.000	100.032	150,000.00			357.00	0.23
Coupon Rate 0.950%; Matures 05/05/2017; CUSIP 037833AN0			100.000		150,000.00	150,048.00	48.00 LT	217.91	
Interest Paid Quarterly Aug 06; Yield to Maturity .856%; Floater; Moody AA1 S&P AA+; Issued 05/06/14; Asset Class: FI & Pref									
GLAXOSMITHKLINE CAPITAL	9/22/16	250,000.000	100.331	100.148	250,827.50			1,875.00	0.74
Coupon Rate 1.500%; Matures 05/08/2017; CUSIP 377373AC9			100.190		250,475.63	250,370.00	(105.63) ST	552.08	
Int. Semi-Annually May/Nov 08; Yield to Maturity 1.077%; Moody A2 S&P A+; Issued 05/09/12; Asset Class: FI & Pref									
NEXEN INC	1/22/15	130,000.000	107.737	101.649	140,058.10			3,673.00	2.77
Coupon Rate 5.650%; Matures 05/15/2017; CUSIP 65334HAF9			101.276		131,659.27	132,143.70	484.43 LT	938.52	
Int. Semi-Annually May/Nov 15; Yield to Maturity 1.192%; Moody AA3 S&P A+; Issued 05/04/07; Asset Class: FI & Pref									
US BANCORP	8/25/16	150,000.000	100.403	100.143	150,604.50			1,238.00	0.82
Coupon Rate 1.650%; Matures 05/15/2017; CUSIP 91159HHD5			100.212		150,317.87	150,214.50	(103.37) ST	316.25	
Int. Semi-Annually May/Nov 15; Callable \$100.00 on 04/15/17; Yield to Call 1.149%; Moody A1 S&P A+; Issued 05/08/12; Asset Class: FI & Pref									
NEXTERA ENERGY CAPITAL HOLDINGS, INC.	5/4/15	250,000.000	100.365	100.059	250,912.50			1,983.00	0.79
Coupon Rate 1.586%; Matures 06/01/2017; CUSIP 65339KAB6			102.910		257,274.33	250,147.50	(7,126.83) LT	330.41	
Int. Semi-Annually Jun/Dec 01; Yield to Maturity 1.442%; Moody BAA1 S&P BBB+; Issued 05/07/15; Asset Class: FI & Pref									
UNITED TECHNOLOGIES CORP	5/25/16	375,000.000	100.784	100.261	377,940.00			3,375.00	0.89
Coupon Rate 1.800%; Matures 06/01/2017; CUSIP 913017BU2			100.327		376,228.09	375,978.75	(249.34) ST	562.49	
Int. Semi-Annually Jun/Dec 01; Yield to Maturity 1.169%; Moody A3 S&P A-; Issued 06/01/12; Asset Class: FI & Pref									
AMERICAN EXPRESS CREDIT	3/19/15	200,000.000	99.916	100.078	199,832.00			606.00	0.30
Coupon Rate 1.211%; Matures 06/05/2017; CUSIP 0258MODN6			99.916		199,832.00	200,156.00	324.00 LT	161.54	
Interest Paid Quarterly Sep 07; Yield to Maturity 1.027%; Floater; Moody A2 S&P A-; Issued 06/05/14; Asset Class: FI & Pref									
BANK OF AMERICA CORP	5/29/15	250,000.000	100.000	100.109	250,000.00			870.00	0.34
Coupon Rate 1.391%; Matures 06/05/2017; CUSIP 06050TMB5			100.000		250,000.00	250,272.50	272.50 LT	222.26	
Interest Paid Quarterly Sep 08; Yield to Maturity 1.134%; Floater; Moody A1 S&P A+; Issued 06/05/15; Asset Class: FI & Pref									
UNITEDHEALTH GROUP INC	5/5/16	103,000.000	105.585	102.059	108,752.55				
Coupon Rate 6.000%; Matures 06/15/2017; CUSIP 91324PAW2			102.325		105,394.26	105,120.77	(273.49) ST		
	12/28/16	30,000.000	102.157	102.059	30,647.10				
			102.157		30,647.10	30,617.70	(29.40) ST		
Total		133,000.000			139,399.65			3,990.00	2.93
					136,041.36	135,738.47	(302.89) ST	354.66	

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
Int. Semi-Annually Jun/Dec 15; Yield to Maturity 1.447%; Moody A3 S&P A+; Issued 12/15/07; Asset Class: FI & Pref									
PEPSICO INC	7/14/15	200,000.000	99.968	99.978	199,936.00			2,250.00	1.12
Coupon Rate 1.125%; Matures 07/17/2017; CUSIP 713448CW6			99.968		199,936.00	199,956.00	20.00 LT	1,025.00	
Int. Semi-Annually Jan/Jul 17; Yield to Maturity 1.165%; Moody A1 S&P A; Issued 07/17/15; Asset Class: FI & Pref									
CATERPILLAR FINANCIAL SE	8/24/16	185,000.000	100.239	100.032	185,442.15			2,313.00	1.24
Coupon Rate 1.250%; Matures 08/18/2017; CUSIP 14912L6D8			100.156		185,287.96	185,059.20	(228.76) ST	854.34	
Int. Semi-Annually Feb/Aug 18; Yield to Maturity 1.198%; Moody A3 S&P A; Issued 08/20/14; Asset Class: FI & Pref									
AMERICAN EXPRESS CREDIT	12/22/16	100,000.000	100.082	100.058	100,082.00			972.00	0.97
Coupon Rate 1.296%; Matures 09/22/2017; CUSIP 0258MODS5			100.081		100,080.76	100,058.00	(22.76) ST	32.40	
Interest Paid Quarterly Dec 22; Yield to Maturity 1.215%; Floater; Moody A2 S&P A-; Issued 09/23/14; Asset Class: FI & Pref									
SANTANDER UK PLC	2/4/15	75,000.000	99.690	99.825	74,767.50			791.00	1.05
Coupon Rate 1.407%; Matures 09/29/2017; CUSIP 80283LAE3			99.690		74,767.50	74,868.75	101.25 LT	5.86	
Interest Paid Quarterly Dec 29; Yield to Maturity 1.644%; Floater; Moody AA3 S&P A; Issued 09/29/14; Asset Class: FI & Pref									
AFRICAN DEVELOPMENT BANK	5/18/16	375,000.000	99.798	99.635	374,242.50			2,813.00	0.75
Coupon Rate 0.750%; Matures 11/03/2017; CUSIP 00828EBH1			99.798		374,242.50	373,631.25	(611.25) ST	453.12	
Int. Semi-Annually May/Nov 03; Yield to Maturity 1.188%; Moody AAA S&P AAA; Issued 11/03/15; Asset Class: FI & Pref									
CARNIVAL CORP	12/30/16	200,000.000	100.414	100.401	200,828.00			3,750.00	1.86
Coupon Rate 1.875%; Matures 12/15/2017; CUSIP 143658AY8			100.414		200,828.00	200,802.00	(26.00) ST	166.66	
Int. Semi-Annually Jun/Dec 15; Yield to Maturity 1.451%; Moody A3 S&P A-; Issued 12/06/12; Asset Class: FI & Pref									
BERKSHIRE HATHAWAY FIN	1/13/15	150,000.000	100.000	100.260	150,000.00			1,761.00	1.17
Coupon Rate 1.173%; Matures 01/12/2018; CUSIP 084664CD1			100.000		150,000.00	150,390.00	390.00 LT	381.48	
Interest Paid Quarterly Apr 13; Yield to Maturity .919%; Floater; Moody AA2 S&P AA; Issued 01/15/15; Asset Class: FI & Pref									
GLAXOSMITHKLINE CAP INC	5/17/16	175,000.000	108.720	105.401	190,260.00			9,888.00	5.36
Coupon Rate 5.650%; Matures 05/15/2018; CUSIP 377372AD9			106.045		185,579.37	184,451.75	(1,127.62) ST	1,263.40	
Int. Semi-Annually May/Nov 15; Yield to Maturity 1.651%; Moody A2 S&P A+; Issued 05/13/08; Asset Class: FI & Pref									
PEPSICO INC	5/10/16	200,000.000	108.092	105.018	216,184.00			10,000.00	4.76
Coupon Rate 5.000%; Matures 06/01/2018; CUSIP 713448BH0			105.609		211,217.45	210,036.00	(1,181.45) ST	833.33	
Int. Semi-Annually Jun/Dec 01; Yield to Maturity 1.409%; Moody A1 S&P A; Issued 05/28/08; Asset Class: FI & Pref									
PFIZER INC	5/31/16	200,000.000	99.927	99.738	199,854.00			2,400.00	1.20
Coupon Rate 1.200%; Matures 06/01/2018; CUSIP 717081DWO			99.927		199,854.00	199,476.00	(378.00) ST	199.99	
Int. Semi-Annually Jun/Dec 01; Yield to Maturity 1.387%; Moody A1 S&P AA; Issued 06/03/16; Asset Class: FI & Pref									
CARDINAL HEALTH INC	5/18/16	250,000.000	100.814	100.217	252,035.00			4,875.00	1.94
Coupon Rate 1.950%; Matures 06/15/2018; CUSIP 14149YBC1			100.577		251,443.51	250,542.50	(901.01) ST	216.66	
Int. Semi-Annually Jun/Dec 15; Yield to Maturity 1.798%; Moody BAA2 S&P A-; Issued 06/23/15; Asset Class: FI & Pref									
BEST BUY CO INC	11/30/15	251,000.000	104.375	104.589	261,981.25			12,550.00	4.78
Coupon Rate 5.000%; Matures 08/01/2018; CUSIP 086516AM3			102.647		257,643.21	262,518.39	4,875.18 LT	5,229.16	
Int. Semi-Annually Feb/Aug 01; Yield to Maturity 2.039%; Moody BAA1 S&P BBB-; Issued 07/16/13; Asset Class: FI & Pref									

DRAPER RICHARDS KAPLAN FOUNDATION
ATTACHMENT A
FORM 990-PF, PART II, LINE 10C DETAIL

EIN: 91-2172351
FOR YEAR END 12/31/16

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
WELLS FARGO & COMPANY Coupon Rate 2.125%; Matures 04/22/2019; CUSIP 94974BFU9 Int. Semi-Annually Apr/Oct 22; Yield to Maturity 1.980%; Moody A2 S&P A; Issued 04/22/14; Asset Class: FI & Pref	5/6/16	200,000.000	102.134 101.678	100.324	204,268.00 203,356.70	200,648.00	(2,708.70) ST	4,250.00 814.58	2.11
CITIGROUP INC Coupon Rate 2.050%; Matures 06/07/2019; CUSIP 172967KS9 Int. Semi-Annually Jun/Dec 07; Yield to Maturity 2.210%; Moody BAA1 S&P BBB+; Issued 06/09/16; Asset Class: FI & Pref	6/3/16	300,000.000	99.948 99.948	99.623	299,844.00 299,844.00	298,869.00	(975.00) ST	6,150.00 410.00	2.05
	Percentage of Holdings	Face Value			Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		6,816,000.000			\$6,894,203.18 \$6,863,152.42	\$6,855,740.35	\$2,250.95 LT \$(9,663.02) ST	\$99,497.00 \$24,843.63	1.45%
TOTAL CORPORATE FIXED INCOME (Includes accrued interest)	83.94%					\$6,880,583.98			

Watchlist and CreditWatch Indicators: (*) = developing/uncertain (+) = On Watchlist/CreditWatch Upgrade (-) = On Watchlist/CreditWatch Downgrade

GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost Adj Unit Cost	Unit Price	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
UNITED STATES TREASURY BILL Zero Coupon; Matures 01/26/2017; CUSIP 912796KE8 Issued 07/28/16; Asset Class: FI & Pref	12/28/16	150,000.000	\$99.966 \$99.966	\$99.973	\$149,948.70 \$149,948.70	\$149,959.50	\$10.80 ST	—	—
UNITED STATES TREASURY NOTE Coupon Rate 0.750%; Matures 10/31/2017; CUSIP 912828TWO Int. Semi-Annually Apr/Oct 30; Yield to Maturity .859%; Moody AAA; Issued 10/31/12; Asset Class: FI & Pref	11/6/15	500,000.000	99.699 99.699	99.910	498,496.00 498,496.00	499,550.00	1,054.00 LT	3,750.00 638.73	0.75
UNITED STATES TREASURY NOTE Coupon Rate 0.750%; Matures 12/31/2017; CUSIP 912828UE8 Int. Semi-Annually Jun/Dec 30; Yield to Maturity .915%; Moody AAA; Issued 12/31/12; Asset Class: FI & Pref	11/15/16	300,000.000	99.902 99.902	99.836	299,706.90 299,706.90	299,508.00	(198.90) ST	2,250.00 6.18	0.75
TREASURY SECURITIES		950,000.000			\$948,151.60 \$948,151.60	\$949,017.50	\$1,054.00 LT \$(188.10) ST	\$6,000.00 \$644.91	0.63%